

Corporate Scrutiny Committee

10:00	Tuesday, 22 October 2013	Committee Room 2, County Hall, Chelmsford, Essex
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Quorum: 4

Membership

Councillor J Young
Councillor W Archibald
Councillor S Barker
Councillor M Buckley
Councillor G Butland
Councillor J Deakin
Councillor R Hirst
Councillor R Lord
Councillor M Mackrory
Councillor V Metcalfe
Councillor P Oxley
Councillor M Page
Councillor J Pike
Councillor C Seagers

Chairman

Vice Chairman

For information about the meeting please ask for:

Robert Fox, Governance Officer
Graham Hughes, Committee Officer
Telephone: 01245 430935
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Essex County Council

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Part 1

(During consideration of these items the meeting is likely to be open to the press and public)

		Pages
1	Apologies and Substitution Notices The Committee Officer to report receipt (if any)	
2	Declarations of Interest To note any declarations of interest to be made by Members	
3(i)	Minutes To approve the minutes from the meeting held on 23 July 2013 (attached).	5 - 10
3(ii)	Matters Arising To consider report CSC/09/13.	11 - 20
4	2013-14 Financial Overview as at the Half Year Stage To consider report CSC/10/13.	21 - 42
5	Corporate Plan Progress Report To consider report CSC/11/13.	43 - 68
6	Capita Resourcing Update To receive a report (CSC/12/13) [to follow]	
7	Work Programme To consider report CSC/13/13.	69 - 76
8	Date of Next Meeting To note that the next meeting will be held at 10am on Tuesday 26 November 2013 in Committee Room 2.	
9	Urgent Business To consider any matter which in the opinion of the Chairman should be considered in public by reason of special circumstances (to be specified) as a matter of urgency.	

Exempt Items

(During consideration of these items the meeting is not likely to be open to the press and public)

To consider whether the press and public should be excluded from the meeting during consideration of an agenda item on the grounds that it involves the likely disclosure of exempt information as specified in Part I of Schedule 12A of the Local Government Act 1972 or it being confidential for the purposes of Section 100A(2) of that Act.

In each case, Members are asked to decide whether, in all the circumstances, the public interest in maintaining the exemption (and discussing the matter in private) outweighs the public interest in disclosing the information.

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Urgent Exempt Business

To consider in private any other matter which in the opinion of the Chairman should be considered by reason of special circumstances (to be specified) as a matter of urgency.

MINUTES OF A MEETING OF THE CORPORATE SCRUTINY COMMITTEE HELD AT COUNTY HALL, CHELMSFORD AT 10 AM ON 23 JULY 2013

Present

J Young (Chairman)	R Lord
W Archibald	M Mackrory
S Barker	Lady Newton (substitute)
M Buckley	P Oxley
J Chandler (substitute)	M Page
J Deakin	J Pike
R Hirst	C Seagers

Also in attendance were Councillor D Finch, Leader and Cabinet Member for Finance, and Councillor D Louis, Cabinet Member for Transformation and Corporate Services.

The following officers were also present in support of the meeting:

Robert Fox	Scrutiny Officer
Graham Hughes	Committee Officer

1. Apologies for Absence and Substitutions

The Committee Officer reported apologies for absence from Councillor G Butland, (for whom Councillor Lady Newton attended as substitute) and Councillor V Metcalfe (for whom Councillor J Chandler attended as substitute).

2. Declarations of Interest

No declarations of interest were made.

3. Appointment of Vice Chairman

At the invite of the Chairman, the following nomination for Vice Chairman was received:

Councillor M Buckley (proposed by Councillor Barker and seconded by Councillor J Pike):

By general consent, it was **agreed** that Councillor Buckley be elected as a Vice Chairman of the Committee.

4. Minutes

- (i) The minutes of the held on 25 June 2013, were approved as a true record and signed by the Chairman.
- (ii) It was noted that a review of the Local Highways Panels, and the overspend in Care and Support attributed to higher than expected number of clients with physical or sensory impairment receiving personal budgets,

- would both be referred to the Scrutiny Board to determine if they should be future areas for scrutiny.
- (iii) No further information had been received on recent media reporting of significant outstanding and uncollected library fines;
 - (iv) The Procurement Strategy Task and Finish Group would have the following membership: Councillors Archibald, Buckley, Mackrory, Oxley and Young.

5. Transformation 2 update

The Committee considered a report (CSC/06/13) on Phase 2 of the Transformation Programme. Councillor D Louis, Cabinet Member for Transformation and Corporate Services, and P Abraham, Director for Transformation, were present to introduce the report and to answer questions.

The County Council faced significant financial challenges with a projected increasing budget shortfall over the next three years reaching £215 million by 2016/17. Whilst the first phase of Transformation had been successful in reducing costs, it had been achieved mostly within the current directorate based organisational structure. Transformation Phase 2 would redesign the organisation, separating commissioning from delivery, and pursue an outcomes-based commissioning-led model.

The key objectives of the second Phase of Transformation were outlined. During discussion the following were highlighted and/or discussed:

- (i) Phase 1 of Transformation had provided a combination of hard cash savings, an increased ability to absorb more of the increase in demographic growth cost pressures, and cost avoidance;
- (ii) The initial 'go live' date for the new organisational model was October 2013 with further structural work undertaken during the following three years. The Cabinet Member considered this to be a challenging and realistic timeline but where there were opportunities to accelerate certain aspects then these would be taken;
- (iii) The County Council would seek to manage some of the demand for services by identifying and implementing earlier interventions and prevention where possible;
- (iv) The first Phase of Transformation had largely been delivered by internal resource, except where specialist consultancy had been needed, and this same approach would be continued for the second phase;
- (v) Services in future would be delivered by a wide range of organisations which would include local authority trading companies, mutuals, social enterprises and community and voluntary bodies as well as internal providers. This reflected the direction of travel for the whole local government sector;
- (vi) The County Council had managed to increase contractual spend with SMEs from 36% of the total budget to nearer 50% in recent years. A similar approach was now being taken to assist the community and voluntary sector in competing for future contract placements;

- (vii) A new commissioning support function would be established to increase the County Council's capacity to collect and interpret information and data to formulate commissioning priorities;
- (viii) Instigating cultural change was identified as one of the major internal challenges facing the County Council;
- (ix) The volatile economic environment had been identified as a major external risk. This re-emphasised the need for the organisation to become more agile and flexible in responding to such challenges. Members highlighted the difficulty in actually being able to measure this objective;
- (x) Members highlighted the importance of clear accountability for outcomes, clear performance measures and retaining control of how outcomes were achieved. Significant detail and parameters would be included in each specific contract and tenderers would be expected to articulate how they expected to meet these contractual requirements as part of the tender submission and evaluation process. This would then be benchmarked against the County Council's outcomes and policy frameworks. Shorter term sub-measures would be developed to gauge interim progress where there was a long-term impact required under the contract;
- (xi) Commissioners would still be held accountable to Members and senior officers. Training for Members on how to hold commissioners to account would be developed;
- (xii) Members questioned how flexible and agile the County Council could be if it placed long term contracts and cited the Ringway Jacobs 10 year contract for maintaining the highways as an example. It was stressed longer term contracts were sometimes necessary if the County Council was expecting contractors to make significant capital investments as part of the contractual performance. Detailed modelling had been done to ascertain whether the County Council or contractors were best placed to make capital investments and this varied with each contract;
- (xiii) It was confirmed that staff would only be asked to undertake tasks for which they had been trained;
- (xiv) Some Members suggested that clearer explanations needed to be given in the County Council's communications strategy with service users to emphasise the provision and cost of less visible services.

It was **agreed** that a further update should be provided to the Committee in October as part of a quarterly programme of updates.

Councillor Louis and Mr Abraham were then thanked for their attendance and they then left the meeting.

6. 2012/13 Essex County Council Annual Report and Corporate Plan Progress Report: 2012/13 Year End

The Committee considered a report (CSS/09/13) comprising the 2012/13 Essex County Council Annual Report and a progress report on the Corporate Plan. Councillor D Finch, the Leader and Cabinet Member for Finance, was in attendance to introduce the report and to answer questions.

Councillor Finch outlined some of the key achievements and statistics from the Annual Report. During subsequent discussion the following were highlighted and/or discussed:

Annual Report

- (i) The County Council continued to support schools to improve the quality of teaching and learning, and leadership and management, where concerns had been identified. However, Essex remained below national averages for both the percentage of pupils achieving the expected levels in the Early Years Foundation Stage and for the proportion of children achieving five or more A* to C grades including English and Maths at GCSE or equivalent. Members encouraged earlier intervention to identify poorly performing schools;
- (ii) Most of the reserves were ring-fenced with approximately £86 million remaining as non-ring-fenced reserves. The Cabinet Member confirmed that the level of reserves were considered adequate and not excessive;
- (iii) The County Council now had responsibility for Public Health which included initiatives to address obesity. Future strategy was being formulated as part of the development of Community Budgets with the costs and benefits of any reduction in obesity rates being allocated in line with partnership arrangements. Members requested and it was **agreed** that clearer presentation of data on obesity be considered for future annual reports;
- (iv) Some schools were converting to academy status. The County Council was highlighting the benefits and support received by schools whilst they remained local authority controlled. Members stressed the importance of maintaining on-going dialogue with academies;
- (v) Some Members highlighted a recent change in school admissions criteria which allowed oversubscribed schools to give priority to children with siblings already at the school ahead of other children living in the catchment area for the school. Anecdotal evidence was given that in some instances this meant excessive pupil journeys to schools in outlying areas for those without siblings at their local school. Councillor Finch agreed to investigate this further;

Corporate Plan: Progress Report

- (vi) Some Members highlighted that the percentage of residents engaged in volunteering had reduced. Developing greater community resilience was part of the Community Budgets programme and further information on developing community resilience would be provided to the Committee;
- (vii) In relation to the Corporate Progress Report, consideration would be given to extracting and presenting information on pot holes separately from highways structural maintenance data;
- (viii) The percentage of residents who felt safe after dark had declined slightly compared to the two previous years. The proposed reduction in the level of street lighting in the County would only be done in areas where it was considered safe to do so.

7. Engaging with Essex residents

The Committee considered a report (CSC/07/13) on the 'Your Essex, let's talk' campaign. Councillor D Finch, the Leader and Cabinet Member for Finance, was in attendance to introduce the report and to answer questions.

The campaign was not intended to replace the County Council's established engagement mechanisms or where it had a legal duty to consult on specific service changes. Instead, the objectives of the campaign would be to ensure that residents and communities understood and accepted the need for change/decommissioning in ECC services; recognised that the County Council was fair, open and transparent in its decision-making; and felt that the County Council had listened to, and considered, their views in reaching decisions. It was proposed that Members led the engagement activity through public road shows, a series of community summits, and engagement with the wider media and social media.

In addition to the above specific campaign activity it was proposed that the County Council commenced webcasting of key formal meetings so as to make them more accessible and transparent to the public. Some members gave anecdotal evidence of webcasting undertaken by other local authorities.

Some Members suggested that also allowing the public to speak at formal meetings was an important part of accessibility and transparency. Members discussed the practicalities of managing public speaking at meetings and acknowledged that there were some issues that would need to be addressed in detailed procedural guidelines, if it were to happen. Other members cautioned that public speaking at formal meetings could be parochial and that it should be strategic and not personal. In addition, it was stressed that the County Council should continue to maintain a variety of communication mechanisms to maximise accessibility in the community.

It was **agreed** (i) To note the report; and (ii) to reconvene the External and Internal Communications Task and Finish Group to scrutinise the proposed campaign and, in particular, to make recommendations on public speaking at formal meetings, and the public role, if any, of Locality Boards and Local Highways Panels.

It was noted that the current membership of the External and Internal Communications Task and Finish Group was Councillors Butland, Mackrory and Pike. Members were asked to notify the Scrutiny Officer if they also wished to serve on the Group

8. Work Programme

The Committee considered and **Agreed** the Forward Look/Work Programme 2013/14 (CSS/08/13) subject to the following:

- (i) Quarterly Transformation 2 updates be added to the future meeting schedule;

- (ii) Pan Essex Broadband coverage and Social Impact Bonds both be added as possible future areas of work;

9. Date of Next Meeting

The next meeting would be held at 10am on Tuesday 17 September 2013 in Committee Room 2.

There being no urgent business the meeting closed at 12.11 pm.

Chairman
17 September 2013

		AGENDA ITEM 3(ii)
		CSC/09/13
Committee:	Corporate Scrutiny Committee	
Date:	22 October 2013	

Matters Arising

Updates are attached on requests for further information made at the last meeting of the Committee in July 2013.

Response to questions raised at Corporate Scrutiny Committee

Date: 23rd July 2013

Ref: CSS/09/13, Agenda Item 9

Title: 2012/13 Essex County Council Annual Report and Corporate Plan Progress

Report: 2012/13 Year End

Cllr Finch presented the 2012/13 Annual Report and Corporate Plan Progress Report on 23rd July. A number of questions were raised by Members specifically in relation to the Corporate Plan Progress Report. The purpose of this note is to provide Members with a response to those questions.

1. Child Obesity (Corporate Plan Progress Report, Page 10, Ref: 3.2.4 and 3.2.5)

Q: Members requested that in future, child obesity data is also reported as raw numbers. This was felt to provide a clearer picture of progress made in tackling this area.

A: Unfortunately, it will not be possible to provide raw data relating to child obesity. Essex County Council is governed by specific rules of the national measurement programmes and are not provided with child level data to enable matching or tracking of children. We only have access to the nationally released obesity rates.

Each year, measurement is taken from a different cohort of children. Children at reception year will be measured again in 5 years' time (as Year 6 pupils) but with no way of tracking or understanding the change to this cohort i.e. those who have since moved out of or into the Essex catchment area, we are unable to provide any statistically significant analysis of progress made, other than the reported obesity rate data.

2. Educational Attainment (Corporate Plan Progress Report, Page 4)

Q: Members requested assurance that academy schools are reporting attainment data in accordance with national definitions

A: Currently around a fifth of our schools have academy status with around 80% of all secondary schools being academies (see below).

**Numbers of Essex schools by status
as at 22/4/2013**

	Academy	Community	Foundation	Voluntary Aided	Voluntary Controlled	Total
Nursery		2				2
Infants	5	44	8	3	1	61
Junior	8	35	7	5	3	58
Primary	34	151	36	58	59	338
Primary Phase						
Total	47	232	51	66	63	459
Secondary	61	4	8	3	1	77
Special	3	13	1			17
Total	111	249	60	69	64	553

Academies are publically-funded independent schools. They receive the same level of per-pupil funding as they would receive from the local authority as a maintained school (plus additions to cover the support no longer provided by LAs). However Academies have greater freedom over how they use their budgets. Academies also have greater freedoms to innovate and raise standards – this includes more autonomy over how they deliver the curriculum.

This does not mean that Academies are not comparable in terms of attainment - they are still required to deliver core subjects, and given that they are still funded by the public purse, they still have an obligation to provide a good education for Essex children and therefore should continue to be included when calculating attainment levels across Essex.

3. Volunteering (Corporate Plan Progress Report, Page 19, Ref: 5.2.3)

Q: Members noted the downturn in respondents to our Resident Survey who state that they participate in volunteering activity, and wanted to understand how this area is progressing.

A: Whilst there has been a decline in the proportion of respondents to our Resident Survey stating that they engage in volunteering, our Volunteer Centres are reporting an improvement in the number of volunteers placed during 2012/13. This, alongside a small drop (of 271 people) in the number of volunteers registering with the centres, is seen as a positive success – given that trend comparisons are being made with 2011/12, which saw an influx of people registering to become Olympics Games makers. Further detail to support the positive progress made during 2012/13 with regards to volunteering activity can be found in the attached short report;

Volunteering in Essex 2012-13

Volunteer Centre Activity

With regard to volunteer centre activity we have seen a small drop in the number of people coming forward to volunteer (registering), but an increase in those going on to engage in activity (i.e. placed or actually volunteering).

	2011-12	2012-13
Volunteers Registered	6,750	6,479
Volunteers Placed	1,885 (29%)	2,038 (31%)
Lost Resource Opportunity	4,685 (71%)	4,441 (69%)

The drop in numbers registering is offset by the increased percentage of those successfully placed.

This increase can be viewed as even more positive as 2011-12 year figures included the Olympic Games makers recruited in Essex. We know how many Games makers were placed (300) but we do not know how many registered specifically for that role but were unsuccessful, therefore it's likely the 2011-12 figures are not representative of a "normal year" and to have a 2012-13 registration of just 271 less is positive.

Traditionally the placement rate for Volunteer Centres in Essex has been greater than that for England and this has continued in 2011-12 (29%) where the national figure was 21% (national figures for 2012-13 have not been released yet).

The increase in numbers placed in Essex may in some part be attributed to the legacy of the Olympics publicity bringing good quality volunteers forward in 2012-13.

The Colchester Timebank scheme reports 62 new members last year with 4,474 transactions (an increase on the previous year), and to date for 2013-14 a figure of 31 new members (a pro rata indication of significant increase).

The Tendring Volunteer Centre also reports an increasing interest in Environmental volunteering and for the CAB service.

Whole Essex Community Budgets

Volunteering related work completed to-date is as follows:

- Consultation of VCS infrastructure and other key agencies from across Essex, inclusive of Thurrock and Southend;
- On-going engagement of VCS infrastructure agencies from across Essex, inclusive of Thurrock and Southend i.e. involvement in Strengthening Communities project group and agreement for Volunteer Centre (x1) and Community Volunteer Service (x1) representatives to be the project's conduits in terms of communication and consultation;
- Agreed capacity building funding for Volunteer Centres i.e. a fund that will enable the Volunteer Centres to engage in, assist in developing and helping to deliver aspects of the project plan;
- Agreed to roll out best practice, initially the Colchester Timebank, across North East and West Essex;
- Agreed on a volunteering campaign that will be informed by social marketing research, which itself will commence in June;
- Agreed a series of Community Builders and Asset Based Community Development trials across a number of Essex sites, building on the current Thurrock pilot.

Other Activity

Lent Invest

A joint venture between the local Diocese and ECC where people were asked to give up their time for a community initiative during Lent. As this was a light touch full data is not available; however we are aware of a number of local activities delivered under this approach:

Wickford and Runwell: Good Neighbour scheme and a food bank

Leigh On Sea: Communi-Tea drop in café
(the diocesan boundaries are not contiguous with ECC)

Southend On Sea: Two Housing Estate litter clear ups

Galleywood: Churchyard clearing and a handyman scheme

The concept was also instrumental in the Diocese deciding to introduce a web site for the faith community that whilst similar to Good for Essex also offers opportunities for Crowd Funding and for Companies to engage in pro bono work to support volunteer activity.

Community Computing Scheme

Providing used computers for free distribution to registered charities who will use them to work directly with people in Essex, offering opportunities which would not otherwise be available to them to improve computing skills or provide supported internet access.

CSV Healthy Ageing (operating in South Essex)

This is a three year joint funded project involving the DoH, ECC, CP&R and B&B CCG's. The project identifies and trains volunteers and supports them to deliver activity in their community. So far groups include:

Knitting/Craft
Walking
Tai Chi
OP Ward Meal Support (now taken over by the Hospital)
Volunteer Driver
Hospital/Shopping/Garden Centre

The report for 2012-13 shows

Older people benefiting from one to one engagement:	246
Older people benefiting from social/activity groups/health related activities	108
Support volunteers recruited and trained	40
Older peoples families/carers benefiting from service	17
Community members engaged	70
Individuals received social care advice/accessing events	532
TOTAL (these are in addition to the VC stats)	1013

Supported Volunteering

Supported Volunteering refers to schemes where volunteers receive additional support in order to carry out their volunteering roles. The following providers receive ECC funding:

CSV (Basildon, Brentwood, Rochford & Castle Point)

Community Service Volunteers (CSV) has carried out a 'Supported Volunteering' scheme for adults with learning disabilities in Essex for 14 years. This scheme is known as Essex Service Volunteers (ESV). It enables adults with additional support needs to integrate into and contribute to their own community through volunteering and mentoring. The scheme aims to support a minimum of 60 adults with additional support needs each year. The actual number achieved in 2012/13 was 87.

North London Homecare Support (Harlow)

Many vulnerable people within the local community require assistance with practical domestic tasks to enable them to remain living at home. 'Elbow Grease' is the name of a project in Harlow in which supported volunteers provide this type of assistance. Examples of domestic tasks carried out include gardening, cleaning etc. 'Elbow Grease' was recently runner-up in a national award scheme and winner of the regional prize. The actual number of supported volunteers in March 2012 was 20 and this has now risen to 29.

Voluntary Action Epping Forest

The 'Choices' project enable adults with learning disabilities to have an improved quality of life by volunteering and integrating into the local community. 'Choices' gives service users purpose and structure to their lives. They become active citizens within the community and choose the supported volunteering that suits them. By March 2013, the number of supported volunteers had risen from 40 to 48.

		AGENDA ITEM 4
		CSC/10/13
Committee:	Corporate Scrutiny Committee	
Date:	22 October 2013	

2013-14 Financial Overview as at the Half Year Stage

Attached is the report considered by the Cabinet on 10 October 2013.

Report to Cabinet Report of Cllr David Finch	Forward Plan reference number FP/228/06/13
Date of meeting 10/10/13	County Divisions affected by the decision <i>All divisions</i>
Title of report: 2013/14 FINANCIAL OVERVIEW AS AT THE HALF YEAR STAGE	
Report by Cllr David Finch, Leader and Cabinet Member for Finance Enquiries to Margaret Lee, Executive Director for Corporate Services Extn: 21010 Tel. No: 01245 431010	

1. Purpose of report

The purpose of this report is to provide an updated assessment of the financial position of the Council in 2013/14, based upon the position at the half year stage.

2. Recommendations

Decision Areas and Recommendations

- (i) That Cabinet Members note the current revenue outturn forecast, which is a projected under spend for the year of **£7.0m** as detailed in Appendix A (ii). Within this projection the Emergency Contingency of **£8m** is currently assumed fully spent.
- (ii) A Cabinet Member Action has been signed to approve the drawdown of £1.5m in relation to accommodation costs for the John Ray school which recently burned down, but it is anticipated that this is unlikely to be needed as costs are likely to be covered from the Insurance fund.
- (iii) Cabinet Members should also note a projected under spend of **£45.8m** against the approved capital payments guideline (see Appendix C).
- (iv) It is recommended that approval is given to appropriate:
 - a. **£219,000** from the General Reserve to the Education & Lifelong Learning Portfolio.
 - b. **£284,000** from the General Reserve to the Economic Growth and Infrastructure Portfolio.
 - c. **£153,000** from the Carbon Reduction Reserve to the Transformation & Support Services Recharged Support Services (Facilities Management Services) Portfolio.
 - d. **£413,000** from the Health & Safety Reserve to the Transformation & Support Services Recharged Support Services (Facilities Management Services) Portfolio.
 - e. **£22,000** from the Transformation Reserve to the Adult Social Care Portfolio
 - f. **£1.5m** from the Redundancy Reserve in respect of TM11 restructuring costs.
 - g. **£1m** to the Personal Care Reserve from Adult Social Care Portfolio.

- (v) That approval is given to vire **£127,000** from Interest Receivable, within Other Operating Costs, to Transformation & Support Service Recharged Support Services (Facilities Management) Portfolio
- (vi) That approval is given to vire **£1.4m** within Highways and Transportation Portfolio and Highways and Transportation Recharged Support services Portfolio of which **£65,000** is from Highways and Transportation Recharged Support Service and **£1.3m** is within Highways and Transportation portfolio.
- (vii) Within the Capital Programme approval is sought for slippage of **£44.5m**, budget additions of **£3.6m** and budget reductions of **£6.0m** plus **£5.3m** added to the Capital Programme in future years for John Ray Infant School.

The impact upon the capital payment profile of making these changes is summarised in **Appendix C**.

3. Financial Overview

3.1 Revenue

An assessment of the Revenue budget, at the half year stage of the 2013/14 financial year, shows a net residual under spend on services of **£7.0m** after taking into account a number of actions recommended in this report.

Comments are included below on the most significant of the variances reported:

Adult Social Care - £298,000 under spend (compared to £2.3m under spend at the first quarter stage)

Working Age Adults Care & Support are forecasting an overspend of **£3m** due mainly to domiciliary activity, residential pricing and cash payments demand. However there are various underspends which offset this, including those in Community Teams, Older People Care & Support due to residential activity being lower than expected, and in Standard and Governance due to staffing vacancies. As part of the strategy to deliver a balanced budget in future years, Adult Social Care is intending to carry forward under spends from 13/14 into 14/15. The above position reflects the action of removing £1m under spend to the Personal Care Reserve in this respect.

Families and Children - £3.9m under spend (compared to £3.2m under spend at the first quarter stage)

There has been a relatively minor movement from the previous quarter. The under spend continues to be due to efficiencies and early delivery of savings within Childrens Social Care.

Education and Lifelong Learning Non DSG - £536,000 over spend (compared to £1.8m at the first quarter stage)

The over spend in this area is partly due to the impact of the new Education Support Grant anticipated reductions which are related to the conversion of schools to academies. In addition there are a number of smaller over spends across the portfolio.

Leader and Finance - £697,000 under spend (compared to £564,000 under spend at the first quarter stage)

The under spend in this area is predominantly as a result of vacancies within Corporate & Business Management, Corporate Policy and the Strategic Services senior management team. These are being held pending the re-design as a result of Transformation Phase 2 and due to the recruitment freeze.

Economic Growth Infrastructure - £874,000 over spend (compared with £455,000 over spend at the first quarter stage)

This is mainly within Employability and Skills relating to the Demand for Essex Apprenticeship Programme. A recovery plan is in place with a business case being prepared.

Transformation & Support Services Recharged Support Services (Transformation Support Unit) Portfolio - £180,000 under spend (compared with on line at the first quarter stage)

This underspend sits within the Transformation Support Unit and is after an appropriation of **£1.4m** from the Transformation Reserve relating to support for the new Strategy Transformation and Commissioning (STC) Support function.

Other operating costs - £3m under spend (compared with £3.1m under spend at the first quarter stage)

Capital financing is predicting to under spend by **£2.1m** linked to the reduced capital spend in 12/13. Interest receivable is showing an additional surplus of **£673,000** as we have earlier phasing of cash payments in the form of government grants. Interest Payable is reporting an under spend of **£230,000**.

Approval is sought in relation to the following actions:

To draw down funds from reserves as follows:

- Education & Lifelong Portfolio - **£219,000** from the General Balance relating to the Economic Growth Strategy .
- Economic Growth & Infrastructure Portfolio - **£284,000** from the General Balance. This relates to **£125,000** within Highways & Transportation, **£121,000** within Enterprise, **£38,000** within Planning, Env & Eco Growth all relating to Essex Growth Strategy
- Transformation & Support Service Recharged Support Services (Facilities Management) Portfolio - **£158,000** from the Carbon Reduction Reserve in relation to additional cost in respect of Carbon Reduction Commitment Allowance purchase.
- Transformation & Support Service Recharged Support Services (Facilities Management) Portfolio - **£413,000** from the Health & Safety Reserve to fund the full year cost of the Health and Safety programme.
- Adult Social Care Portfolio - **£22,000** from the Transformation Reserve in respect of expenditure associated with the Health Integration Project.
- **£1.5m** from the Redundancy Reserve in relation to TM11 restructuring costs (Public Health & Wellbeing Portfolio (**£660,000**), Adult Social Care Portfolio (**£565,000**), Families & Children Portfolio (**£140,000**), Transformation & Support Services (Procurement) Portfolio (**£80,000**) Leader & Finance Portfolio (**£30,000**), Highways & Transportation Portfolio (**£25,000**))

To appropriate funds to reserves as follows:

- Personal Care Reserve - **£1m** from Adult Social Care Portfolio relating to the underspend within Access Assessment and Care Management to be used in 14/15 to offset pressures relating to the costs of personal care within Adult Social Care.

That approval is given to vire **£127,000** from Interest Receivable, within Other Operating Costs, to Transformation & Support Service Recharged Support Services (Facilities Management) Portfolio to fund the full year cost of the Health and Safety programme. This is addition to the Reserve drawdown above.

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That approval is given to vire **£1.4m** from the Congestion, Localism, Road Safety, Transportation Planning and Passenger Transport policy lines within Highways and

Transportation Portfolio totalling **£1.3m** and **£65,000** from Highways and Transportation Recharged Support Service to the Roads & Footways policy line within Highways and Transportation Portfolio. This is to address the cost pressures arising as a result of severe weather damage.

Appendix A provides a summary, by portfolio, of the current forecast outturn on the revenue budget, and summarises the mitigation proposed regarding the under and over spends that it is proposed are retained and the underlying outturn forecast by portfolio.

3.2 Trading activities

At this stage, it is anticipated that the Trading Activities' will over achieve their planned surplus by **£574,000** as shown in **Appendix B**.

3.3 Capital

A review has been undertaken to challenge the deliverability of the current approved capital programme, the outcome of which is reflected in the half year forecast outturn position. The projected under spend on the capital programme totals **£45.8m (21%)** against the latest capital budget of **£220.2m**. The Cash Balances held by Schools and Devolved Formula Capital are currently projecting to be fully spent.

In addition to the requests made in the First Quarter Cabinet Report there is further slippage identified of **£44.5m**, additions of **£3.6m** and reductions of **£6.0m** that will be requested in the Half Year Cabinet Report. A CMA is currently being prepared for the remaining **£850,000** addition, reduction and advanced works request.

Approval is sought in relation to the following actions:

- Slippage: **£44.5m**
- Additions: **£3.6m**
- Reductions: **£6.0m**
- Future Years addition: **£5.3m**

The slippage will fall into a number of future years as follows:

	2014/15 £m	2015/16 £m	2016/17 £m
Slippage	23.4	18.3	2.8

For full list of the projects affected, please refer to Appendix C.

key points to note are:

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Adult Social Care

- Spend to date of **£270,000** represents **58%** of the forecast Outturn of **£463,000**. There is currently a forecast under spend of **£2.0m**.

- Accommodation for Vulnerable people – The Procurement exercise did not generate bids of sufficient quality, however, local authorities have now identified opportunities for sites to deliver some of the programme. An alternative approach is now being progressed by approaching the market individually with the grant availability and a rolling programme. There is a forecast under spend of **£2.0m**. Approval is sought to re-profile **£2.0m** into 2014/15.

Families and Children

- Spend to date of **£124,000**, represents **4.7%** of forecast outturn of **£2.6m**. There is a forecast under spend of **£100,000**.
- ICS System Rationalisation - All software modules originally planned for this project have been implemented. The remaining work is expected to be implemented during the 2013/14 and is likely to come in under budget, therefore, this budget can be reduced by **£100,000**. Approval is sought to reduce this budget by **£100,000**.
- Minor Works - Small over and under spends are forecast. Approval is sought to reduce the Family Centre Minor Works budget and to increase the Residential Homes Minor Works budget by **£4,000**.

Libraries, Communities & Planning

- Spend to date of **£616,000** represents **10%** of forecast outturn of **£6.0m**. An under spend of **£541,000** is currently forecast
- Public Realm - An under spend of **£141,000** is forecast for 2013/14 as budgets are linked to other programmes and resulting reprogramming of schedules. Approval is sought to re-profile **£141,000** into 2014/15.
- Hadleigh Farm Legacy Construction - Morgan Sindall has now agreed a price and programme that is within ECC's budget and to meet the deadlines set by the project team. An under spend of **£400,000** is forecast for 2013/14. Approval is sought to re-profile **£400,000** into 2014/15.

Economic Growth and Infrastructure

- Spend to date of **£1.6m** represents **32%** of forecast outturn of **£4.9m**. An under spend of **£4.4m** is currently forecast.
- Parkside Office - An under spend of **£450,000** is forecast for 2013/14, due to the re-profiling of the stage payments. Approval is sought to re-profile **£450,000** into 2014/15.
- Chelmsford Gateway - The South East Local Enterprise Partnership (SELEP) has allocated **£1.0m** of Growing Places Funding (GPF) for delivery of an element of the Chelmsford Gateway Project by Chelmsford City Council (CCC). This funding of **£1.0m** is to be provided to CCC, via ECC as upper tier authority, in 2013/14. The loan will be made on an interest free basis and the repayments will be made over the period from March 2015 to March 2019. Approval is sought for the addition of **£1.0m** to the 2013/14 Capital programme for the scheme.

- Tendring Coastal Defence - An under spend of **£1.0m** is forecast for 2013/14 as the project spend profile shows only **£677,000** expenditure for 2013/14 and Tendring District Council were successful in obtaining **£512,000** through Flood Alleviation funding from Defra, which has funded much of the scheme's preparatory work. Approval is sought to re-profile **£1.0m** into 2014/15.
- Integrated County Strategy –An under spend of **£4.0m** has been assumed. Further detail will be available when the funding round launching in October is complete.

Education & Lifelong Learning

- Spend to date of **£12.9m** represents **38%** of forecast outturn of **£33.8m**. There is currently a forecast under spend of **£16.7m**.
- Following the recent award of Targeted Basic Need funding a thorough review of the capital programme has been carried out and this has been reprofiled in order to reflect the impact this extra funding has on the programme of required works. Approval is sought for **£15.4m**, relating to 15 schools, to be reprofiled into future years:
- Approval is also sought to reduce the budgets for Heathlands Primary School **£30,000**, North Colchester **£317,000**, Waltham Abbey **£1.3m**, Hereward Primary **£289,000** and other projects **£672,000** and increase 5 schools, detailed in Appendix C, totalling **£865,000**.
- Approval is sought for an addition **£161,000**, which is the total value of the agreed contributions to date from schools towards capitalised maintenance projects.
- Approval is sought to add **£5.3m** to the Capital Programme for the rebuild of John Ray Infant School that has recently burnt down. **£2.0m** is required in 2014/15 and **£3.3m** in 2015/16.

Transformation & Support Services

- Spend to date of **£2.6m** represents **18%** of forecast outturn of **£14.3m**. The service is currently forecasting an under spend of **£12.3m**.
- Minor Works – An over spend of **£141,000** is forecast for 2013/14. Colchester Library scheme budget is currently being confirmed, and the required Section106 contribution of **£141,000** is intended to be transferred to Minor Works budget to fund the project. Approval is sought for the addition of **£141,000** to this budget, to be funded from a Section 106 contribution.
- BDUK Essex Next Generation Access - Cabinet approved the award of contract which was signed on 13 August 2013. An under spend of **£6.8m** is forecast for 2013/14. Approval is sought to re-profile **£476,000** into 2014/15 and **£6.3m** into 2015/16.
- Social Care Case Management – Cabinet approval was received in July 2013 for the Social Care Case Management scheme to proceed with procurement. This is now under way, with the contract award expected in November 2013. An under spend of **£3.2m** is currently forecast for 2013/14. Approval is sought to re-profile **£3.2m** into 2014/15.
- Property Transformation I - An under spend of **£420,000** is forecast for 2013/14. This under spend relates to a number of multicentre projects for which works need to complete by summer 2014 to align with academic timetables. Approval is sought to re-profile **£420,000** into 2014/15.

- Property Transformation II - The capital investment over the three financial years to March 2016 totals **£4.9m**. An under spend of **£2.8m** is forecast for 2013/14. This has arisen from more detailed profiling of activity which was identified by start-date only in the FBC. These will commence within 2013/14 but delivery will run into 2014/15. Approval is sought to re-profile **£2.8m** into 2014/15.
- A CMA is currently being drafted to add the following schemes to the 2013/14 Capital Programme. These will be funded from budget brought forward from the 2014/15 Community Development scheme:
 - Datacentre Refresh **£350,000**
 - Email Refresh **£50,000**
 - End User Computing **£450,000**

Highways & Transportation

- Spend to date of **£18.7m** represents **22%** of Forecast Outturn of **£84.8m**. There is currently a forecast under spend of **£7.8m**. Approval is sought for reductions of **£1.1m**, additions of **£1.2m** and to re-profile **£7.8m**.
- Highways & Transportation (excl. Major Schemes) - An under spend of **£1.1m** is currently forecast. The variance consists of under spends forecast for S106-funded schemes for which approval will be sought to re-profile into 2014/15, together with minor over and under spends across a range of schemes. Approval is sought to re-profile **£936,000** into 2014/15, additions of **£1.1m** (**£500,000** from S106, **£600,000** from other budgets within the service) and reductions of **£1.1m**.
- Highways Major Schemes – Spend to date of **£1.3m** represents **10%** of Forecast Outturn of **£13.4m**. There is currently a forecast under spend of **£6.6m** which mainly relates to **£3.0m** for Colchester Park & Ride, **£3.0m** for Northern Approach Road and **£850,000** for St Botolphs. These delays have occurred due to issues with funding and dependency on the progress of other schemes. Approval is sought to reprofile **£6.9m** into future year's budgets.

Waste & Recycling

- Spend to date of **£2.0m** represents **14%** of Forecast Outturn of **£14.6m**. Two of the Waste Transfer Stations remain subject to legal challenges and the purchase of the Braintree site remains a concern. The current forecast is based on the assumption that the Judicial Reviews are found in ECC's favour and the Braintree purchase will progress.

Leader

- An under spend of **£2.0m** is forecast in relation to the Local Authority Mortgage Scheme. This is a new scheme for 2013/14 which provides the funding for ECC to participate in the Local Authority Mortgage Scheme (LAMS) and provide match funding to District Councils wishing to set up their own LAM scheme. Approval is sought to reduce the budget by **£2.0m**.
- [Appendix D](#) provides a comparison of approved and forecast outturn capital payments by Portfolio and sets out the variance plan which summarise the proposals for addressing the forecast budget variances.

Capital Receipts

Capital receipts received to date in 2013/14 total **£13.2m**. Of this amount, **£1.5m** relates to a debtor that was accounted for in 2012/13, **£4.0m** funds the 2013/14 Property Transformation II capital programme, **£4.3m** funds other schemes within the current 2013/14 capital programme and **£113,000** is ringfenced to Southend Borough Council under the terms of a disposal. The remaining unallocated capital receipts balance totals **£3.3m**, which may be used to contribute towards the reduced debt payments savings target set out within the Property Transformation II programme.

3.4 Balance Sheet

3.4.1 Reserves

Appendix D provides a year end estimate of the position of the earmarked revenue reserves as at the end of August 2013. The year-end balance is estimated to total **£297.7m** of which **£238.7m** belongs to ECC. The balances that cannot be utilised by ECC relate to Schools **£52.8m**, Trading Activities **£4.2m** and Partnerships **£1.9m**. Of the £238.7m available to ECC **£9.3m** relates to equalisation reserves, i.e. funds that have been set aside in relation to grant balances, in line with accounting standards. **£23.8m** relates to future capital funding. This money is set aside to fund elements of the capital programme. This leaves a balance of **£205.6m** which comprises:

£83.2m in the Waste reserve, in line with the 30-year waste strategy and a further **£66.9m** is in a variety of PFI reserves, in line with plans to utilise funds at the appropriate stage in the life of the projects,

£9.1m in the redundancy reserve and a further **£22.6m** is in the Transformation reserve. It is anticipated that a significant amount of this funding will be utilised in the forthcoming transformation programme. The balance is held in a variety of reserves which have been specifically set aside to mitigate against specific circumstances or risks, such as **£10.7m** in the insurance reserve against known and specified risks.

3.4.2 Treasury Management and Prudential Indicators

A summary of the investment and borrowing levels is provided in **Appendix E**.

4. Policy context

This report is an assessment of the financial position of the County Council, which itself is a representation of the corporate plan. The budget and corporate plan were approved in parallel in February 2013.

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5. Financial Implications

5.1 Finance and Resources Implications (Section 151 Officer)

The report is authored by the S151 Officer and all actions proposed within this report are within the available funding and are considered appropriate actions to deal with variances arising. Overall, there is a forecast under spend. Given the current economic climate, and the continuing requirement by central government to reduce public spending to contribute to the resolution of the structural deficit, it is important that continued scrutiny is applied at all levels to ensure overall spending stays within budget.

6. Legal Implications

The Council is responsible for setting the budget each year at the budget and Council Tax meeting. Once agreed the executive then have to implement the policy framework within that budget. The executive cannot change the budget set by Council. The section 151 officer confirms that nothing in this report constitutes a departure from the budget previously agreed by Full Council.

7. Staffing and other resource implications

There are no staffing or other resource implications associated with this report.

8. Equality and Diversity implications

There are no equality and diversity or other resource implications associated with this report.

9. Background papers

Not applicable for this report

APPENDIX A (i)

REVENUE													
2013/14													
	Year to Date			Budget Movement		Forecast Outturn			Variance Plan	Residual Variance	RAG Status	Residual Variance at 1st Qtr	Movement in Residual Variance
	Latest Budget	Actual	Variance	Original Budget	Movement	Latest Budget	Outturn Forecast	Forecast Variance					
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000		£000	£000
Public Health and Wellbeing	6,520	6,791	271	26,647	(347)	26,300	26,364	64	-	64	✧	357	(293)
Adults Social Care	156,855	157,849	994	380,316	(140)	380,176	378,900	(1,276)	(978)	(298)	■	(2,273)	1,975
Families and Children	58,445	57,217	(1,228)	136,600	367	136,967	133,019	(3,948)	-	(3,948)	■	(3,213)	(735)
Education and Lifelong Learning													
Education and Lifelong Learning DSG	(67,331)	(3,921)	63,410	(3,477)	(270)	(3,747)	(4,972)	(1,225)	-	(1,225)	●	(1,121)	(104)
Education and Lifelong Learning NON DSG	16,213	20,409	4,196	45,455	23	45,478	46,233	755	219	536	✧	1,758	(1,222)
Highways and Transportation	37,661	38,231	570	93,101	202	93,303	93,105	(198)	-	(198)	■	113	(311)
Waste & Recycling	29,520	29,331	(189)	66,511	(66)	66,445	66,132	(313)	-	(313)	■	127	(440)
Economic Growth Infrastructure	2,040	651	(1,389)	3,911	(302)	3,609	4,767	1,158	284	874	✧	455	419
Leader and Finance	11,466	13,126	1,660	25,980	2,417	28,397	27,700	(697)	-	(697)	■	(564)	(133)
Libs, Communities and Planning	8,678	9,216	538	20,124	547	20,671	20,731	60	-	60	✧	14	46
Fire Reinstatement Works	-	(66)	(66)	-	-	-	-	-	-	-		-	-
Transformation & Support Servs	605	655	50	537	(32)	505	643	138	-	138	✧	80	58
Recharged Support Services													
Highways and Transportation													
Transport Coordination Centre	506	462	(44)	1,214	-	1,214	1,148	(66)	-	(66)	●	-	(66)
Leader & Finance													
Communications and Customer Relations	1,297	933	(364)	3,266	-	3,266	3,049	(217)	-	(217)	●	(146)	(71)
Equality and Diversity	60	49	(11)	194	-	194	194	-	-	-	■	-	-
Democratic Services	309	280	(29)	664	40	704	663	(41)	-	(41)	●	(34)	(7)
Finance	5,112	4,836	(276)	10,771	3,749	14,520	14,494	(26)	-	(26)	■	66	(92)
Insurance Cost Recovery Account	2,008	(1,722)	(3,730)	4,818	-	4,818	4,997	179	-	179	✧	179	179
Essex Legal Services	155	239	84	563	-	563	609	46	-	46	✧	36	10
Transformation & Sup Serv													
Facilities Management Services	10,757	11,627	870	20,392	3,764	24,156	25,070	914	698	216	✧	304	(88)
Asset Management	1,060	671	(389)	1,784	767	2,551	2,258	(293)	-	(293)	●	(207)	(86)
Customer Services	1,866	1,067	(799)	2,909	416	3,325	3,589	264	-	264	✧	212	52
Human Resources	2,125	1,828	(297)	4,871	1,819	6,690	6,361	(329)	-	(329)	■	(21)	(308)
Information Services	6,497	8,339	1,842	11,440	4,767	16,207	16,703	496	-	496	✧	670	(174)
Performance	1,038	1,003	(35)	2,177	290	2,467	2,433	(34)	-	(34)	■	(47)	13
Procurement	1,071	869	(202)	2,315	235	2,550	2,385	(165)	-	(165)	●	-	(165)
Car Provision Scheme	-	163	163	-	-	-	108	108	-	108	■	223	(115)
Transformation Support Unit	2,408	2,453	45	2,228	2,708	4,936	6,210	1,274	1,454	(180)	■	-	(180)
Other Operating Costs	4,508	4,679	171	103,680	(17,954)	85,726	82,566	(3,160)	(127)	(3,033)	■	(3,060)	27
Net Expenditure	301,449	367,265	65,816	968,991	3,000	971,991	965,459	(6,532)	1,550	(8,082)	■	(6,271)	(1,811)
DSG offset								1,112	-	1,112		1,117	(5)
ECC Projected Outturn								(5,420)	1,550	(6,970)	■	(5,154)	(1,816)
Financed by													
COL FUND (SURPLUS)/DEFICIT				(2,925)	-	(2,925)	(2,925)	-					
COUNCIL TAX PRECEPT				(518,086)	-	(518,086)	(518,086)	-					
GENERAL BALANCE - CONTRIBUTION/(WITHDRAWAL)				(25,470)	(3,001)	(28,472)	(28,472)	-					
GENERAL GOVERNMENT GRANTS				(36,339)	-	(36,339)	(36,339)	-					
NDR PRECEPT				(154,226)	-	(154,226)	(154,226)	-					
REVENUE SUPPORT GRANT				(231,945)	-	(231,945)	(231,945)	-					
Total Financing				(968,991)	(3,001)	(971,993)	(971,993)	-					
Rag Status													
■	Under spend of less than 5% of the budget												
●	Under spend of greater than 5% of the budget												
✧	Over spend of less than 5% of the budget												
✧	Over spend of greater than 5% of the budget												

APPENDIX A (ii)

REVENUE VARIANCE PLAN					
	Unadjusted Variance	Virement Requests	Carry Forward requests	Amounts (to) / from reserve	Residual Variance
	£000	£000	£000	£000	£000
Public Health and Wellbeing	64				64
Adults Social Care	(1,276)			(978)	(298)
Families and Children	(3,948)				(3,948)
Education and Lifelong Learning					
Education and Lifelong Learning DSG	(113)				(113)
Education and Lifelong Learning NON DSG	755			219	536
Highways and Transportation	(198)				(198)
Waste & Recycling	(313)				(313)
Economic Growth Infrastructure	1,158			284	874
Leader and Finance	(697)				(697)
Libs, Communities and Planning	60				60
Fire Reinstatement Works	-				-
Transformation & Support Servs	138				138
Recharged Support Services					
Highways and Transportation					
Transport Coordination Centre	(66)				(66)
Leader and Finance					
Communications and Customer Relations	(217)				(217)
Equality and Diversity	-				-
Democratic Services	(41)				(41)
Finance	(26)				(26)
Insurance Cost Recovery Account	179				179
Essex Legal Services	46				46
Transformation & Sup Serv					
Facilities Management Services	914	127		571	216
Asset Management	(293)				(293)
Customer Services	264				264
Human Resources	(329)				(329)
Information Services	496				496
Performance	(34)				(34)
Procurement and CPS	(165)				(165)
Car Provision Scheme	108				108
Transformation Support Unit	1,274			1,454	(180)
Total	(2,260)	127	-	1,550	(3,937)
Other Operating Costs	(3,160)	(127)			(3,033)
Total (including Other Operating Costs)	(5,420)	-	-	1,550	(6,970)

REVENUE

TRADING ACTIVITIES

2012/13		2013/14				Forecast Variance	%
Actual		Original Budget	Latest Budget	Outturn Forecast	Variance		
£000		£000	£000	£000	£000		
(31,035)	Income	(28,410)	(33,900)	(31,839)	2,061	(6%)	
28,626	Expenditure	25,332	31,032	28,394	(2,638)	(9%)	
(2,409)	(Surplus) / Deficit	(3,078)	(2,868)	(3,445)	(577)	20%	
	Appropriations						
2,537	to County Revenue Account	1,787	1,781	1,784	3	0%	
(128)	to Trading Activity Reserves	1,291	1,087	1,087	-	-	
-	Net movement on the reserve	-	-	(574)	(574)	-	

APPENDIX C

CAPITAL

2013/14

	Year to date		Original Budget	Budget Movement		Revised Budget	Outturn	Forecast outturn		Variance	RAG status
	Actual	% spend vs forecast		2012/13 Requests	2013/14 Approved changes			Variance			
	£000	%	£000	£000	£000	£000	£000	£000	%		
Adult Social Care	270	58.3%	2,000	463	-	2,463	463	(2,000)	-81.2%	●	
Families and Children	124	4.7%	1,694	163	880	2,737	2,637	(100)	-3.7%	●	
Libraries, Communities and Planning	616	10.2%	2,772	1,239	2,567	6,578	6,037	(541)	-8.2%	●	
Economic Growth and Infrastructure	1,628	32.8%	8,184	277	900	9,361	4,957	(4,403)	-47.0%	●	
Education and Lifelong Learning	12,936	38.3%	46,275	4,128	105	50,508	33,811	(16,696)	-33.1%	●	
Transformation and Support Services	2,556	17.9%	18,938	2,160	5,458	26,556	14,297	(12,259)	-46.2%	●	
Public Health and Wellbeing	-	0.0%	-	-	-	-	-	-	0.0%	●	
Highways and Transportation	18,658	22.0%	82,329	8,144	2,145	92,618	84,831	(7,787)	-8.4%	●	
Waste and Recycling	1,977	13.6%	23,146	1,166	(9,741)	14,571	14,571	0	0.0%	●	
Leader	-	0.0%	1,000	-	6,000	7,000	5,000	(2,000)	0.0%	●	
Archived Codes	4	100.0%	-	-	-	-	4	4	100.0%	◆	
ECC Capital Programme	38,766	23.3%	186,338	17,739	8,313	212,390 #	166,607	(45,783)	-21.6%	●	
Schools Cash Balances	886	100.0%	-	886	-	886	886	0	0.0%	■	
Devolved Formula Capital	1,673	24.3%	-	4,262	2,655	6,917	6,898	(19)	-0.3%	■	
Total School Balances	2,560	32.9%	-	5,148	2,655	7,803 #	7,784	(19)	-0.2%	■	
Total Capital Programme	41,326	23.7%	186,338	22,887	10,968	220,193 #	174,391	(45,801)	-20.8%	●	
Financed by											
ECC Capital Programme											
Grants			82,183	8,923	2,873	93,979	86,899				
Reserves			10,573	90	6,518	17,181	14,610				
Developer contributions			21,602	1,698	197	23,497	15,815				
Capital receipts			3,932	-	4,358	8,290	4,793				
Unsupported borrowing			68,048	7,028	(5,633)	69,443	44,472				
Borrowing funded by revenue			-	-	-	-	-				
sub-total ECC Capital Programme			186,338	17,739	8,313	212,390 #	166,589				
School Balances											
Grants			-	886	2,655	3,541	3,541				
Unsupported borrowing			-	4,262	-	4,262	4,262				
sub-total School Balances			-	5,148	2,655	7,803 #	7,803				
Total ECC & Schools Capital Funding			186,338	22,887	10,968	220,193 #	174,392				

Variance plan

Directorate	Approved changes					Variance Plan					
	Slippage	Additions	Reductions	Advanced Works	2013/14 Approved changes	Slippage	Additions	Reductions	Advanced Works	Residual Variance	Total Variance
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Adult Social Care	0	0	0	0	(0)	(2,000)	-	-	-	-	(2,000)
Families and Children	0	880	0	0	880	-	4	(104)	-	0	(100)
Libraries, Communities and Planning	(137)	2,704	0	0	2,567	(541)	-	-	-	-	(541)
Economic Growth and Infrastructure	(0)	2,400	(1,500)	0	900	(5,450)	1,045	-	-	2	(4,403)
Education and Lifelong Learning	0	9,865	(7,105)	0	2,760	(15,442)	1,026	(2,607)	-	328	(16,696)
Transformation and Support Services	0	5,520	(62)	0	5,458	(13,250)	141	(0)	-	850	(12,259)
Public Health and Wellbeing	-	0	0	0	-	-	-	-	-	-	-
Highways and Transportation	(2,369)	8,401	(3,887)	0	2,145	(7,844)	1,157	(1,079)	-	(22)	(7,787)
Waste and Recycling	(9,715)	0	(26)	0	(9,741)	-	-	-	-	0	0
Leader	-	7,000	(1,000)	0	6,000	-	-	(2,000)	-	-	(2,000)
Archived Codes	0	0	-	0	-	-	-	-	-	4	4
Total ECC Capital Programme	(12,221)	36,770	(13,581)	0	10,967	(44,527)	3,374	(5,790)	-	1,161	(45,783)
Schools Cash Balances	0	0	-	0	-	-	-	-	-	0	0
Devolved Formula Capital	0	0	-	0	-	-	240	(240)	-	(19)	(19)
Total Controlled Elsewhere	-	-	-	-	-	- #	240	(240)	-	(19)	(19)
Total Capital Programme	(12,221)	36,770	(13,581)	0	10,967	(44,527) #	3,614	(6,031)	-	1,142	(45,801)

Rag Status

- Under spend of less than 5% of the budget
- Under spend of greater than 5% of the budget
- ✧ Over spend of less than 5% of the budget
- ◆ Over spend of greater than 5% of the budget

APPENDIX C

CAPITAL PROGRAMME DETAILED VARIANCE PLAN							
Portfolio & Scheme	Slippage £000	Additions £000	Reductions £000	Advanced Works £000	2013/14 Changes Requested	Residual Variance £000	Total Variance £000
Adult Social Care							
Accomm for Vulnerable People	(2,000)	-	-	-	(2,000)	-	(2,000)
	(2,000)	-	-	-	(2,000)	-	(2,000)
Families & Children							
ICS Rationalisation	-	-	(100)	-	(100)	-	(100)
Minor Works	-	4	(4)	-	0	-	0
	-	4	(104)	-	(100)	-	(100)
Libraries, Communities and Planning							
Public Realm	(141)	-	-	-	(141)	-	(141)
Hadleigh Farm Legacy Construction	(400)	-	-	-	(400)	-	(400)
	(541)	-	-	-	(541)	-	(541)
Economic Growth and Infrastructure							
Parkside Office	(450)	-	-	-	(450)	-	(450)
Chelmsford Gateway	-	1,045	-	-	1,045	-	1,045
Tendring Coastal Defence	(1,000)	-	-	-	(1,000)	-	(1,000)
Integrated County Strategy	(4,000)	-	-	-	(4,000)	-	(4,000)
	(5,450)	1,045	-	-	(4,405)	-	(4,405)
Education and Lifelong Learning							
Broomfield School	(800)	-	-	-	(800)	-	(800)
Colchester Primary Review	(2,455)	-	-	-	(2,455)	-	(2,455)
Glenwood/Deanes Special School	(3,556)	-	-	-	(3,556)	-	(3,556)
Harlow New Hall Farm Primary School	(570)	-	-	-	(570)	-	(570)
Harlow Primary Additional Places	(2,424)	-	-	-	(2,424)	-	(2,424)
Hereward Primary School	(3)	-	(289)	-	(292)	-	(292)
St Johns Abbeyfield School	(1,000)	-	-	-	(1,000)	-	(1,000)
St Marys Foundation School	(180)	-	-	-	(180)	-	(180)
Severalls Primary School	(797)	-	-	-	(797)	-	(797)
Beaulieu Park Primary School	(442)	-	-	-	(442)	-	(442)
Epping Primary School	(1,227)	-	-	-	(1,227)	-	(1,227)
Hall Road Primary School	(500)	-	-	-	(500)	-	(500)
Gilden Way Primary School	(400)	-	-	-	(400)	-	(400)
Basic Need	(184)	-	-	-	(184)	187	3
Other Projects	(904)	-	(672)	-	(1,576)	141	(1,435)
Heathlands Primary School	-	-	(30)	-	(30)	-	(30)
North Colchester School	-	-	(317)	-	(317)	-	(317)
Waltham Abbey School	-	-	(1,300)	-	(1,300)	-	(1,300)
Witham Maltings	-	112	-	-	112	-	112
Alderton Infant School	-	289	-	-	289	-	289
Braintree Basic Need	-	174	-	-	174	-	174
Chelmsford Basic Need	-	280	-	-	280	-	280
Loughton Basic Need	-	10	-	-	10	-	10
Capitalised Building Maintenance	-	161	-	-	161	-	161
	(15,442)	1,026	(2,608)	-	(17,024)	328	(16,696)
Transformation and Support Services							
Minor Works	-	141	-	-	141	-	141
Shire Hall	(62)	-	-	-	(62)	-	(62)
BDUK	(6,752)	-	-	-	(6,752)	-	(6,752)
IS Schemes	-	-	-	-	-	850	850
Social Care Case Management	(3,200)	-	-	-	(3,200)	-	(3,200)
Property Transformation I	(420)	-	-	-	(420)	-	(420)
Property Transformation II	(2,816)	-	-	-	(2,816)	-	(2,816)
	(13,250)	141	-	-	-	850	(12,259)
Highways and Transportation							
S106	(821)	52	(802)	-	(1,571)	5	(1,566)
Passenger Transport	-	97	(17)	-	80	(21)	59
A130 Bypass PFI Improvement	-	-	(125)	-	(125)	(5)	(130)
Covered Salt Storage	-	125	-	-	125	-	125
Major Schemes Planning & Management	(115)	-	-	-	(115)	18	(97)
Town Centre Improvements	-	500	-	-	500	-	500
Cycling Programme	-	318	(135)	-	183	(68)	115
Other Schemes	-	-	-	-	-	(172)	(172)
Colchester Park & Ride	(2,997)	-	-	-	(2,997)	-	(2,997)
Colchester A134 St Botolphs	(850)	-	-	-	(850)	-	(850)
NAR3	(3,000)	-	-	-	(3,000)	-	(3,000)
Army & Navy Widening	(60)	65	-	-	5	221	226
	(7,843)	1,157	(1,079)	-	(7,765)	(22)	(7,787)
Leader							
Local Authority Mortgage Scheme	-	-	(2,000)	-	(2,000)	-	(2,000)
	-	-	(2,000)	-	(2,000)	-	(2,000)
Archived	-	-	-	-	-	4	4
ECC Capital Programme	(44,526)	3,373	(5,791)	-	(33,835)	1,159	(45,786)
Schools Balances & DFC							
Devolved Formula Capital	-	240	(240)	-	-	(19)	(19)
	-	240	(240)	-	-	(19)	(19)
Total School Balances	-	240	(240)	-	-	(19)	(19)
Total Capital Programme	(44,526)	3,613	(6,032)	-	(33,835)	1,140	(45,804)

APPENDIX D

BALANCE SHEET

EARMARKED RESERVES

2013/14

	Estimated Balance at 1 April 2012	2013/14 movements						Balance at 31 March 2014	
		Contributions agreed (on IFS)	Proposed Contributions (not on IFS)	Contributions to reserves	Withdrawals agreed (on IFS)	Proposed Withdrawals (not on IFS)	Withdrawals from reserves	Net Movement	as at 10 September 2013
	£000	£000	£000	£000	£000	£000	£000	£000	£000
General reserves									
Building maintenance reserve	(713)			-	713		713	713	-
Capital receipts pump priming	(1,692)	(1,000)		(1,000)	597		597	(403)	(2,095)
Carbon Reduction reserve	(2,955)	(529)		(529)		153	153	(376)	(3,331)
Energy Inflation reserve	(1,200)	(200)		(200)			-	(200)	(1,400)
Essex Crime and Police Panel reserve	(73)			-			-	-	(73)
Essex Transport Reserve	(372)			-			-	-	(372)
Health and Safety Reserve	(648)			-	235	413	648	648	-
Insurance	(10,743)			-			-	-	(10,743)
LAA Performance	(1,000)			-	1,000		1,000	1,000	-
Newton bequest reserve	(119)			-			-	-	(119)
Pension Fund Deficit reserve	-	(5,000)		(5,000)			-	(5,000)	(5,000)
Procurement reserve	(24)			-	24		24	24	-
Quadrennial Elections reserve	(1,006)	(500)		(500)	1,308		1,308	808	(198)
Redundancy reserve	(10,601)			-		1,500	1,500	1,500	(9,101)
Severe weather reserve	(252)			-	252		252	252	-
Street Lighting reserve	(476)			-	476		476	476	-
Tendring PPP	(422)			-			-	-	(422)
Transformation	(26,587)	(13,000)		(13,000)	15,511	1,476	16,987	3,987	(22,600)
	(58,883)	(20,229)	-	(20,229)	20,116	3,542	23,658	3,429	(55,454)
Future capital funding									
General	(12,344)	(11,433)		(11,433)			-	(11,433)	(23,777)
Bellhouse landfill	(61)			-			-	-	(61)
	(12,405)	(11,433)	-	(11,433)	-	-	-	(11,433)	(23,838)
Equalisation reserves									
PFI equalisation reserves									
8AI A130 PFI	(57,837)			-	949		949	949	(56,888)
Clacton secondary schools' PFI	(3,969)	(188)		(188)			-	(188)	(4,157)
Debden PFI	(4,818)			-	251		251	251	(4,567)
Building schools for the future PFI	(1,296)			-			-	-	(1,296)
Waste reserve	(61,803)	(21,423)		(21,423)			-	(21,423)	(83,226)
Grants equalisation reserve	(10,739)			-	619		1,466	1,466	(9,273)
	(140,462)	(21,611)	-	(21,611)	1,819	-	2,666	(18,945)	(159,407)
Schools	(52,847)	-		-	-		-	-	(52,847)
Partnerships	(2,133)	-		-	250		250	250	(1,883)
Trading activities	(3,159)	(1,087)		(1,087)			-	(1,087)	(4,246)
Total	(269,889)	(54,360)	-	(54,360)	22,185	3,542	26,574	(27,786)	(297,675)

GENERAL BALANCE - Movement Analysis	
	£000
Actual Balance 31 March 2013	(85,551)
2012/13 Planned Withdrawal	25,470
Proposed Withdrawals/(Contributions)	
Carry Forward requests (2012/13 third quarter and Provisional outtn requests)	3,479
Repayments of amounts withdrawn at 2012/13 Provisional Outturn stage	(323)
Actual Balance 1 April 2013	(56,925)
Subsequent movements	
Estimated balance at 31st March 2014	(56,925)
Proposals	
Contributions	
Withdrawals:	
ESH proposed use of Economic Growth Strategy funding	284
SCF proposed use of Economic Growth Strategy funding	219
Updated Estimated Balance at 31 March 2014	(56,422)

Emergency Contingency	
	£000
Actual Balance 1 April 2013	(8,000)
Previously Approved Proposed Utilisation	
Assumed Withdrawals	8,000
Estimated balance at 31 March 2014	-

PRUDENTIAL INDICATORS AND TREASURY MANAGEMENT

TREASURY MANAGEMENT - 2013/14

	Actual Balance 1 April £000	Movements			Estimated balance 31 March £000	Interest payable / (earned) to date £000
		Raised	Repaid	Net movement £000		
Borrowing						
Long Term	358,497	-	(6,169)	(6,169)	352,328	6,354
Temporary	1,416	-	(634)	(634)	782	1
	359,913	-	(6,803)	(6,803)	353,110	6,355
Investments						
Long Term	(3,000)	(6,500)	-	(6,500)	(9,500)	(51)
Temporary	(248,100)	(117,200)	-	(117,200)	(365,300)	(1,377)
	(251,100)	(123,700)	-	(123,700)	(374,800)	(1,428)
Net indebtedness	108,813	(123,700)	(6,803)	(130,503)	(21,690)	4,927

Borrowing

Average long term borrowing over period to date (£000)

356,218

Opening pool rate at 1 April 2013

4.26%

Weighted average rate of interest on new loans secured to date

n/a

Average pool rate for year

4.26%

Investments

Average daily cash balance over period to date (£000)

405,296

Average interest earned over period

0.84%

Benchmark rate - average local authority 7 day rate

0.29%

APPENDIX E

PRUDENTIAL INDICATORS AND TREASURY MANAGEMENT

PRUDENTIAL INDICATORS

		Approved Indicator	Provisional Outturn
1 Affordability			
Incremental impact on Council Tax	£	£1.12	N/A
Ratio of financing costs to net revenue streams	%	4.9%	5.0%
2 Prudence		<i>Net borrowing is well within the medium term forecast of the Capital Financing Requirement.</i>	
Net borrowing and Capital Financing Requirement			
3 Capital Expenditure			
Capital expenditure	£m	186	174
Capital Financing Requirement (<i>excluding credit arrangements</i>)	£m	761	711
4 External Debt			
Authorised limit (borrowing only)	£m	550	N/A
Operational boundary (borrowing only)	£m	380	N/A
Actual external borrowing (maximum level of debt during year)	£m	N/A	362
5 Treasury Management			
Interest rate exposures			
Upper limit for exposure to fixed rates			
Net exposure	£m	550	353
Debt		100.0%	100.0%
Investments		100.0%	100.0%
Upper limit for exposure to variable rates			
Net exposure	£m	160	5
Debt		30.0%	1.3%
Investments		100.0%	72.2%
Maturity structure of borrowing (upper limit)			
Under 12 months	%	40.0%	5.1%
12 months & within 24 months	%	40.0%	6.2%
24 months & within 5 years	%	60.0%	14.4%
5 years & within 10 years	%	60.0%	11.1%
10 years & within 25 years	%	80.0%	6.2%
25 years & within 40 years	%	50.0%	12.8%
40 years & within 50 years	%	50.0%	40.0%
50 years & above	%	20.0%	0.0%
Total sums invested for more than 364 days			
Authorised limit	£m	50	N/A
Actual sums invested (maximum position during year)	£m	N/A	6
6 Summary			
<i>All Treasury Management activities have been undertaken in accordance with approved policies and procedures.</i>			
<i>External debt is within prudent and sustainable limits.</i>			
<i>Credit arrangements have been undertaken within approved indicators</i>			
<i>Maturity Structure of borrowing: maturity dates for market loans are based on the next review date, not the final maturity date.</i>			

		AGENDA ITEM 5
		CSC/11/13
Committee:	Corporate Scrutiny Committee	
Date:	22 October 2013	

Corporate Plan Progress Report

Attached is the report considered by the Cabinet on 10 October 2013.

AGENDA ITEM 6

Report to Cabinet	Forward Plan reference number FP/258/07/13
Date of meeting 10 th October 2013	County Divisions affected by the decision N/A
Title of report Corporate Plan Progress Report: Q2 2013/14	
Report by Cllr David Finch, Leader of the Council	
Enquiries to Louise Perry, Analyst (01245 437908, ext 51908)	

1. Purpose of report

- 1.1. The Q2 2013/14 Corporate Plan Progress Report follows on from the report presented to Cabinet on 16th July based on performance at the 2012/13 year end stage. The report provides detail of the available data for each of the indicators within the 2012-2017 Corporate Plan, highlighting where new data has recently become available.

2. Recommendations

- 2.1. Note the performance achieved during 2012/13 (for those where year-end data has recently been released) and note progress made during 2013/14 (where data is available).
- 2.2. Agree the Q2 2013/14 Corporate Plan Progress Report attached as an appendix to this paper.

3. Background and proposal

- 3.1. Cabinet will be aware of work undertaken in 2011/12 to develop and agree the 2012-2017 Corporate Plan. The Corporate Plan set out the outcomes we committed to deliver against under each priority (5 priorities, each with 3 outcomes) as well as the measures of success identified to help us manage our performance.

- 3.2. Following Cabinet, the report will be presented to Corporate Scrutiny Committee and will then be made available on the Essex County Council website.

4. Policy context

- 4.1. The EssexWorks Commitment 2012-2017 set out our vision for Essex, articulated our commitment to the county, and marked the first step in a new partnership bringing together public bodies and Essex's citizens and communities. It stated our ambition was to deliver the best quality of life in Britain, achieved by providing high-quality, targeted services that deliver real value for money, and informed the development of the EssexWorks Corporate Plan 2012-2017.
- 4.2. The EssexWorks Commitment 2012-2017 was based on the following principles
- 4.2.1. Putting our residents first:
- 4.2.2. Increasing choice:
- 4.2.3. Promoting local decision-making:
- 4.2.4. Improving outcomes:
- 4.2.5. Taking action early:
- 4.2.6. Delivering value for money:
- 4.3. The Q2 2013/14 Corporate Plan Progress Report (attached as an appendix to this paper) provides an update on progress made towards delivery of the EssexWorks Corporate Plan 2012-2017 priorities (informed by the EssexWorks Commitment 2012-2017).
- 4.4. Vision for Essex 2013 -17 builds on and replaces the previous EssexWorks Commitment 2012-17.
- 4.5. It sets out the Cabinet's vision and priorities for the next four years and this will inform the development of a revised corporate strategy, a new outcomes framework that will guide commissioning decisions and inform the budget setting process. It is based on the following principles
- 4.5.1. We will spend taxpayers' money wisely:
- 4.5.2. Our focus will be on what works best, not on who does it:
- 4.5.3. We will put residents at the heart of the decisions we make:
- 4.5.4. We will empower communities to help themselves:
- 4.5.5. We will reduce dependency:
- 4.5.6. We will work in partnership:

4.5.7. We will continue to be open and transparent:

4.5.8. The proposal in this report is consistent with the principles set out above, in that publication of the attached report will contribute towards continued transparency.

5. Financial Implications

5.1. There are no financial implications as a direct result of this report

6. Legal Implications

6.1. There are no legal implications arising from this report

7. Staffing and other resource implications

7.1. There are no staffing or resource implications as a direct result of this report

8. Equality and Diversity implications

8.1. When it exercises its functions, ECC must have regard to the public sector equality duty (PSED) under s.149 of the Equalities Act 2010, ie have due regard to the need to: A. Eliminate unlawful discrimination, harassment and victimisation and other conduct prohibited by the Act. B. Advance equality of opportunity between people who share a protected characteristic and those who do not. C. Foster good relations between people who share a protected characteristic and those who do not including tackling prejudice and promoting understanding.

8.2. The protected characteristics are age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, sex and sexual orientation.

8.3. This report notes progress made on a wide range of issues. It is not considered that the report itself will have a disproportionately adverse impact on a protected characteristic so a Section 2 Equality Impact Assessment is not considered necessary.

8. Background papers

9.1. There are no relevant background papers related to the report

Corporate Plan: Progress Report

Q2: 2013/14

(based upon data up to August 2013 where available)

Produced by: Information and Intelligence Service



Essex County Council

Q2 2013/14 Corporate Plan Progress Report

This is a quarterly update on progress against our 2012-2017 Corporate Plan performance indicators and follows on from the report presented to Cabinet on 16th July 2013 based on progress at the 2012/13 year end stage.

Where new data is available it has been highlighted in the tables with a lighter shade of grey. Due to a time lags on data availability for a number of the indicators, some new data relates to the recent release of 2012/13 year end results. A key has been applied to flag new data as follows;

 **13/14**

To indicate that 2013/14 monthly or quarterly data is available for the indicator

 **12/13**

To indicate that 2012/13 results have recently become available for the indicator

Successes - Highlights

Priority 1 Outcome 3	Page 5	Apprenticeships	Of those who have already completed their apprenticeship (Phase 2), an increasing proportion have been entering employment. August 2013 saw 53.90% of those completing their apprenticeship enter employment, compared to 24.8% in May 2013 (it should be noted that a large proportion of apprenticeship placements have not yet ended).
Priority 2 Outcome 3	Page 8	JSA Claimants	JSA claimant levels have continued to fall since April 2013, with June 2013 (2.7%) achieving our lowest level since January 2009 (2.6%)
Priority 3 Outcome 2	Page 10	Physical Activity	At 57.4%, Essex is above both the national (56.0%) and Eastern (57.1%) averages for the proportion of adults (16+) who met the Chief Medical Officer's recommended guidelines on levels of physical activity

Exceptions - Lowlights

			Issue	Commentary for Consideration
Priority 1 Outcome 3	Page 5	Care Leavers in EET	The number of 19 year old care leavers in education, employment or training across Essex continues to decline with 43.2% in employment at the end of 2012/13.	<ul style="list-style-type: none"> National trend of decline possibly a reflection of the changing economy, but the rate of decline in Essex is much higher. Task and Finish group was established to review the issues and devise and action plan. Leaving Care Teams are working hard to engage young people particularly the 18+ age group who make up the highest percentage of NEET's. Consideration is being given to additional Youth Advisors to work intensively with 18+ young people on apprenticeships and work experiences. Whilst action to address may not influence the March 2014 position, we should be in a stronger position for March 2015.
Priority 3 Outcome 3	Page 11	CO2 Emissions	In the 12 months to March 2013, CO2 emissions from ECC buildings and activities increased by 25%, despite ambition to reduce by 5%.	<ul style="list-style-type: none"> Based on recently released data. Partly due to 100% reporting of relevant electricity and gas supplies now required (previously we had to cover at least 90%). Partly due to increased gas consumption during last winter - increased gas consumption during 2012/13 is in line with what we would expect, given the number of degree days (hot or cold) during the year.
Priority 3 Outcome 3	Page 11	Waste	Slow down in dry recycling and increase in overall waste arisings may mean 2013/14 target for re-use, recycling and composting is missed.	<ul style="list-style-type: none"> Broadly in line with national trends Waste arisings impacted upon by unusually high levels of waste in April 2013 Increased composting activity helping to mitigate fall in dry recycling Work is underway to trial public engagement activity in poor performing areas to assess the impact on performance, whilst service enhancements being rolled out within Colchester in October are expected to reverse this trend in part

Priority 1: Enabling every individual to achieve their ambitions by supporting a world-class education and skills offer in the county

What does success look like?


- Children and young people achieve well in early years education (age 5), at KS2 (age 11), at GCSE (age 16) and beyond (up to age 19)
- Adults take up opportunities to learn through adult community learning courses, Essex libraries offer learning opportunities to all, and residents feel they have enough information, guidance and support to access education and life-long learning
- Apprenticeships are undertaken in Essex, and young people participate in post-16 learning and aspire to life long learning and self improvement

Where have we come from and where do we want to be?




Outcome 1: Helping Essex residents achieve their full potential through learning

Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2008/09 Academic Year	2009/10 Academic Year	2010/11 Academic Year	2011/12 Academic Year	2011/12 AY National Average	2011/12 AY Statistical Neighbour Average	Aim	2012/13 Academic Year	Performance Rating	Frequency of data
1.1.1: % children achieving good level of development in Early Years Foundation Stage	45%	50% ▲	52% ▲	60% ▲	64%	64%	Reduce gap with Statistical Neighbours and England	January 2014	N/a	Annual
1.1.2: % children (including children with SEN, Children in Care and those eligible for free school meals) achieving expected levels in KS2 in English and Maths	72%	73% ▲	74% ▲	79% ▲	79%	79%	Maintain overall progress in line with Statistical Neighbour/ England and close gap for SEN children	January 2014	N/a	Annual
1.1.3: % children (including children with SEN, Children in Care and those eligible for free school meals) achieving 5+ A*-C GCSE or equivalent (inc' English and Maths)	-	54.6%	58.20% ▲	58.9% ▲	59.4%	59.1%	Close gap between Essex and England	January 2014	N/a	Annual
1.1.4: % children (including Children in Care) with 15% or more school absences - Primary	-	-	3.4%	3.3% ▼	3.4%	3.1%	Target not set for 2012/13 academic year	July 2014	N/a	Annual
1.1.5: % children (including Children in Care) with 15% or more school absences - Secondary	-	-	8.5%	7.10% ▼	6.8%	6.8%	Target not set for 2012/13 academic year	July 2014	N/a	Annual
1.1.6: % young people achieving level 3 qualifications by age 19	48.9%	51.8% ▲	53.7% ▲	55% ▲	55%	-	Target not set for 2012/13 academic year	July 2014	N/a	Annual

Outcome 2: Providing opportunities for Essex people to learn throughout their lives

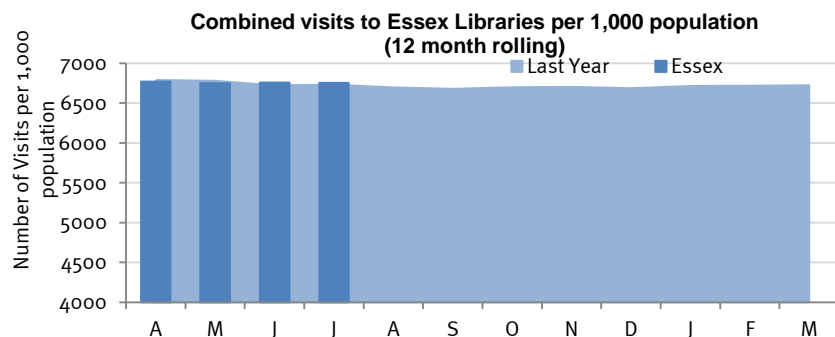
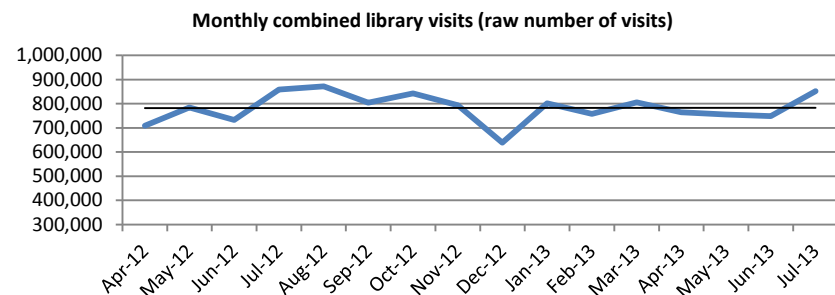
Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10 (or 08/09 AY)	2010/11 (or 09/10 AY)	2011/12 (or 10/11 AY)	2012/13 (or 11/12 AY)	2012/13 (11/12 AY) National Average	2012/13 (11/12AY) Stati Neighbour Average	Aim	2013/14 (or 12/13 AY)	Performance Rating	Frequency of data
1.2.1.1: Learner success rates in adult community learning accredited courses: Workplace learning	New definition for 2011/12 Academic Year			97.6%	-	-	Target not set for 2012/13 academic year	July 2014	N/a	Annual
1.2.1.2: Learner success rates in adult community learning accredited courses: Apprenticeships	New definition for 2011/12 Academic Year			88.3%	-	-	Target not set for 2012/13 academic year	July 2014	N/a	Annual
1.2.1.3: Learner success rates in adult community learning accredited courses: Class based learning	New definition for 2011/12 Academic Year			72.8%	-	-	Target not set for 2012/13 academic year	July 2014	N/a	Annual
1.2.2.1: % of the population with level 2 qualifications or higher (aged 16-64yrs)	63.1% ▲	63.5% ▲	65.8% ▲	70.3% ▲	71.8%	72.0%	Increase performance, moving towards national averages	Spring 2014	N/a	Annual
1.2.2.2: % of the population with level 3 qualifications or higher (aged 16-64yrs)	43.1% ▲	43.7% ▲	46.3% ▲	49.8% ▲	55.1%	53.7%		Spring 2014	N/a	Annual
1.2.2.3: % of the population with level 4 qualifications or higher (aged 16-64yrs)	23.7% ▲	23% ▼	24.2% ▲	28.1% ▲	34.4%	32.9%		Spring 2014	N/a	Annual
1.2.3: Physical and virtual visits to Essex libraries (per 1,000 population)  13/14	7,443 ▼	7,482 ▲	6,819 ▼	6,725 ▼	5,577 (11/12 phys' visits)	5,661 (11/12 phys' visits)	Maintain top quartile compared to Counties (physical visits)	6,754 (12 month rolling up to July13)	On Track (see page 6)	Monthly
1.2.4: % of users satisfied with Essex libraries	91.9% ▼	-	-	93.2% ▲	Autumn 2013	Autumn 2013	Continued improvement toward County average	July 2014	N/a	Annual
1.2.7: % Essex residents who feel they have enough information, guidance and support to access education and life-long learning	30%	27% ▼	-	28.5% ▲	-	-	Increase the level achieved in 2012/13	July 2014	N/a	Annual

Outcome 3: Promoting a culture of aspiration

Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10 (or 08/09 AY)	2010/11 (or 09/10 AY)	2011/12 (or 10/11 AY)	2012/13 (or 11/12 AY)	2012/13 (11/12 AY) National Average	2012/13 (11/12AY) Stati Neighbour Average	Aim	2013/14 (or 12/13 AY)	Performance Rating	Frequency of data
1.3.1: People undertaking apprenticeships in Essex (phase 2)  13/14	Phase Two began during 2012/13			778	-	-	Significantly increase opportunities across Essex	880 (Aug 13)	On Track (see page 6)	Monthly
1.3.2: People successfully completing the Essex Apprentice Scheme (phase 2)  13/14	Phase Two began during 2012/13			21.2%	-	-	70% completion rate (Essex Apprentice Scheme Phase 2)	27.8% (Aug 13)	On Track (see page 6)	Monthly
1.3.3: % young people who aspire to continue in post 16 learning	93.2%	96.6% ▲	90.7% ▼	95.7% ▲	-	-	Raise aspirations of young people to continue learning post 16	July 2014	N/a	Annual
1.3.4: % 16-19 year olds who continue in post 16 learning	-	-	67.4%	70.4% ▲	-	-	All year 11 leavers in 2013 to remain in education, employment or training	July 2014	N/a	Annual
1.3.5: % care leavers who are in education, employment , training at age 19  12/13	74.5% ▲	62.0% ▼	49.3% ▼	43.2% ▼	57% (11/12)	51.4% (11/12)	Maintain performance in line with statistical neighbours	July 2014	N/a (see page 6)	Annual 5

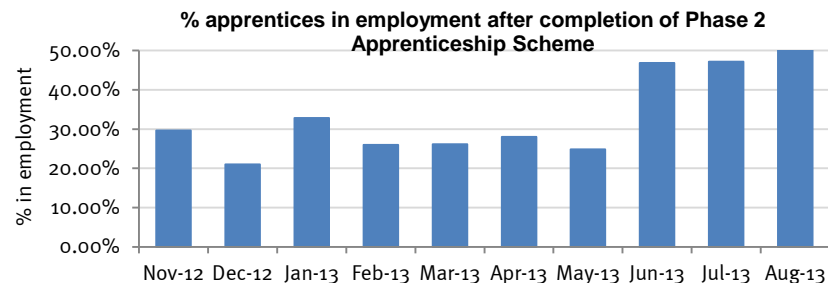
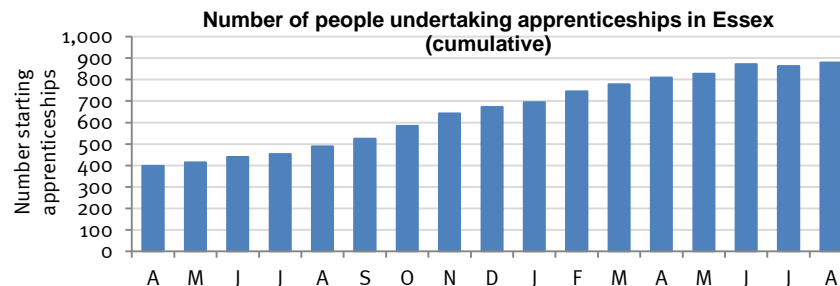
What is the latest data telling us?

1.2.3: Overall library visits have remained relatively steady



There continues to be a general trend of decline for physical library visits against a general increasing trend for virtual visits. Physical visits account for the majority of overall library visits. Raw combined library visits over the last 6 months have remained relatively steady (excluding the July peak). Annualising data (to exclude seasonal peaks and troughs) shows visits this year remain in line with annualised levels during the same period of 2012/13.

1.3.1/2: August 2013 saw 53.90% of those completing their apprenticeship (Phase 2) enter employment

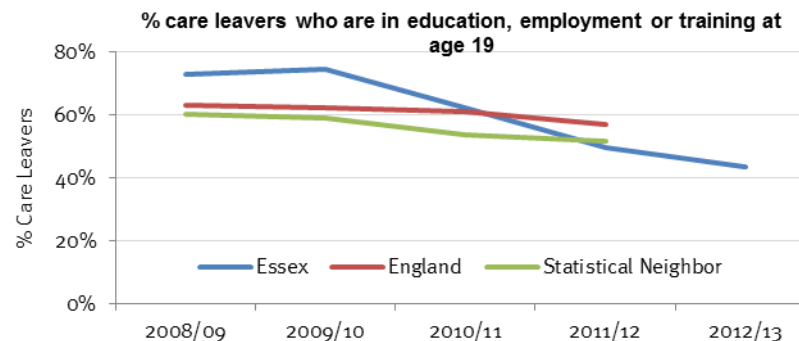


Whilst the service remains confident that we will meet the 70% successful completion rate against Phase 2, we are not likely to see very many completers until 2014. The successful completion rate stood at 27.8% as at the end of August 2013. However, of those who have already completed their apprenticeship as part of Phase 2, an increasing proportion are going into employment

1.3.5: National trend for falling levels of care leavers in education, employment or training, however Essex appears to be falling at a much faster rate

A task and finish group was established earlier in the year to look at this issue across Essex. A number of issues and recommendations were identified as was the establishment of a Leaving and After Care NEET Action Plan

Leaving Care Teams are working hard to engage young people particularly the 18+ age group who make up the highest percentage of NEET's. Consideration is being given to additional Youth Advisors to work intensively with 18+ young people on apprenticeships and work experiences. Whilst action to address may not influence the March 2014 position, we should be in a stronger position for March 2015.



Priority 2: Securing the infrastructure and environment to enable business to grow

What does success look like?

- Essex business community is supported to grow, attract investment and succeed in new markets, and jobs (particularly high value jobs) are present within the Essex economy. Whilst infrastructure supports Essex businesses to trade and grow, whilst the road network is well maintained
- Young people are in education, employment or training
- There is sufficient childcare to support parents choosing to go back to work, and low unemployment in key sections of the community

Where have we come from and where do we want to be?



Outcome 1: Supporting business to generate wealth, jobs and opportunities

Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2012/13 National Average	2012/13 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
2.1.1: Total number of active enterprises	58,740 ▲	59,095 ▲	58,735 ▼	Autumn 2013	-	-	Not required (Contextual)	Autumn 2014	N/a	Annual
2.1.2: Number of enterprise start-ups	5,900 ▼	5,875 ▼	6,280 ▲	Autumn 2013	-	-	Not required (Contextual)	Autumn 2014	N/a	Annual
2.1.3: Number of jobs in Essex	617,000	608,000 ▼	626,000 ▲	Spring 2014	-	-	Not required (Contextual)	Spring 2015	N/a	Annual
2.1.4: Average workplace earnings (£)	25,475 ▲	25,490 ▲	25,978 ▲	26,693 ▲	-	-	Not required (Contextual)	Spring 2014	N/a	Annual

Outcome 2: Ensuring the physical and technological infrastructure is in place to enable business to flourish

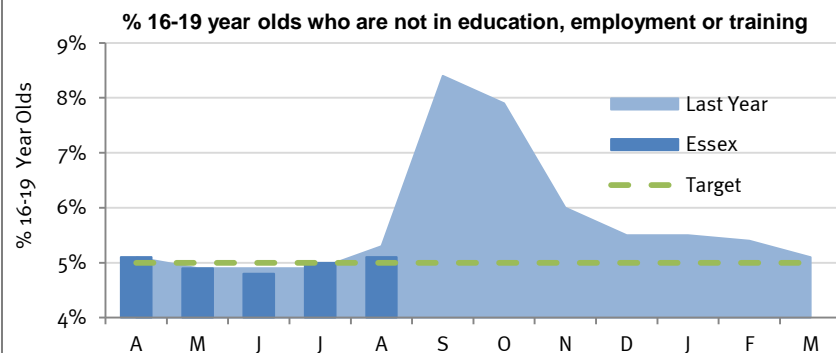
Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2012/13 National Average	2012/13 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
2.2.1: Journeys on specified routes undertaken within target time range	New for 2013/14						Baseline Year	January 2014	N/a	Annual
2.2.2: Number of business premises as defined by the national non domestic rates	37,243 ▼	37,530 ▲	38,976 ▲	August 2013	-	-	Not appropriate	July 2014	N/a	Annual
2.2.3: % homes and businesses with basic level of broadband (2Mbps)	New for 2013/14						100% by 2015	July 2014	N/a	Annual
2.2.4: % homes and businesses with superfast broadband (24Mbps)							75% by 2017	July 2014	N/a	Annual
2.2.5: Local authority principal road network where structural maintenance should be considered	6% ▲	3% ▼	4% ▲	5% ▲	-	-	6%	July 2014	N/a	Annual
2.2.6: Local authority non principal classified road network where structural maintenance should be considered	8% ▲	7% ▼	7% ↔	7% ↔	-	-	8%	July 2014	N/a	Annual

Outcome 3: Creating an environment in which economic opportunity is made available to all

Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2012/13 National Average	2012/13 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
2.3.1: % 16-19 year olds who are not in education, employment or training  13/14	6.9%	6.3% ▼	6.4% ▲	5.7% ▼	5.8%	4.6%	Reduce to 5% NEET	5.1% (Aug 13)	On Track (see page 8)	Monthly
2.3.2: % eligible families benefiting from the childcare element of Working Tax Credit	14.1% ▼	13.3% ▼	13.2% ▼	June 2014	16.2% (11/12)	15.7% (11/12)	Eligible families access their entitlement to Childcare WTC	June 2015	N/a	Annual
2.3.3: Availability and take-up of childcare places in Essex	Following a change in reporting systems, data prior to Autumn 2012 is no longer comparable			87% (Spring 2013)	-	-	Sufficient local provision to meet local needs	July 2014	N/a	Annual
2.3.4: % resident population aged 16-64 years claiming Job Seekers Allowance  13/14	3.2% ▶	3% ▼	3.3% ▲	3.1% ▼	3.8%	3.1%	Maintain trend with East of England	2.7% (July 2013)	On Track (see page 8)	Monthly

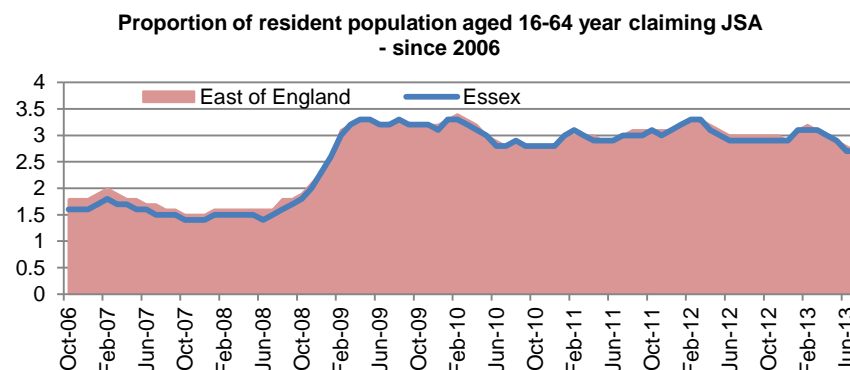
What is the latest data telling us?

2.3.1: The proportion of NEET has remained broadly in line with levels seen during the same period in 2012



It should be noted that the 2013/14 year end result will be calculated as an average across November 2013 to January 2014 (therefore the target also applies to this period). This is nationally recognised as being the most stable part of the year which will smooth out inevitable peaks and troughs from other periods in the year such as when year 11s leave school.

2.3.4: JSA claimant levels have continued to fall since April 2013, with June 2013 achieving our lowest level since 2009



Essex continues to maintain trend with the East of England for the proportion of adult JSA claimants. July 2013 saw a maintenance of the 2.7% achieved in June 2013. This indicates a reduction in claimants down to levels previously seen in January 2009 (2.6%)






Priority 3: Improving Public Health and Wellbeing

What does success look like?

- People receive the support needed to regain or maintain independence, and communities who rely most on local bus services receive a punctual service
- There are low occurrences of smoking, obesity, alcohol and drug misuse, avoidable illness, death and disability, and people are supported to live active lives
- Residents experience of Essex's urban and rural environment is a positive one, and Essex's environmental impact is low

Where have we come from and where do we want to be?

Outcome 1: Helping Essex residents to live full and independent lives

Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2012/13 National Average	2012/13 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
3.1.1: % social care users receiving personal budgets  13/14	-	-	86.3%	85.6% ▼	-	-	Increase cash payment users by 10%	88% (Aug 13)	One to Watch (see page 11)	Monthly
3.1.2: % people achieving a positive outcome from reablement  13/14	50% ▼	53% ▲	65% ▲	81% ▲	-	-	Maintain performance, whilst increasing volumes	83% (July 13)	On Track	Monthly
3.1.3: Older people supported through assistive technology (new clients)  13/14	5,801	4,924 ▼	5,881 ▲	4,692 ▼	-	-	Not appropriate	2,134 (up to Aug 13)	On Track	Monthly
3.1.4: % adults with LD who live in own home/with family	50% ▲	44% ▼	69% ▲	72.8% ▲	January 2014	January 2014	Increase number of adults	January 2014	N/a	Quarterly
3.1.5: % adults in contact with secondary mental health services who live independently, with or without support  12/13	70.8% ▲	79.1% ▲	70.9% ▼	79.9% ▲	-	51.7% (11/12)	Maintain current level of performance	July 2014	N/a	Annual
3.1.6: % non-frequent bus services that run on time	78% ▲	85% ▲	89% ▲	84% ▼	-	-	Continue to improve punctuality	January 2014	N/a	Bi-annual
3.1.7: Number of people using public transport (millions)  13/14	44.2 ▼	43.3 ▼	45.1 ▲	44.8 ▼	-	-	Minimise impact of decline in passengers	10.5 (Q1)	On Track (see page 13)	Quarterly

Outcome 2: Encouraging healthy and active lifestyles and tackling the wider causes of ill health

Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2011/12 National Average	2011/12 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
3.2.1.1: Overall life expectancy (in years) - Men  09-11	79.5 (07-09)	79.6 (08-10) ▲	79.9 (09-11) ▲	Summer 2014	78.91 (09-11)	-	Increase in line with averages (80.1 years)	Summer 2015	N/a	Annual
3.2.1.2: Overall life expectancy (in years) - Woman  09-11	83.0 (07-09)	83.2 (08-10) ▲	83.4 (09-11) ▲	Summer 2014	82.89 (09-11)	-	Increase in line with averages (83.5 years)	Summer 2015	N/a	Annual

Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2012/13 National Average	2012/13 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
3.2.2.1: Differences in life expectancy across Essex (in years) - Men	4.1 (07-09) ▼	4.3 (08-10) ▲	To be calculated	Summer 2014	-	-	Reduce to 3.9 years	Summer 2015	N/a	Annual
3.2.2.2: Differences in life expectancy across Essex (in years) - Women	2.6 (07-09) ▼	2.6 (08-10) ↔	To be calculated	Summer 2014	-	-	Reduce to 2.4 years	Summer 2015	N/a	Annual
3.2.3: Winter mortality rates	18.6% (06-09)	20.2% (07-10) ▲	20.5% (08-11) ▲	Jan 2014	19.1% (08-11)	-	Reduce to England average	Jan 2015	N/a	Annual
3.2.4: % reception year pupils measured as obese	8.2% (08/09 AY)	8.8% (09/10 AY) ▲	8.6% (10/11 AY) ▼	8.1% (11/12 AY) ▼	9.5% (11/12 AY)	-	Maintain below national average	January 2014	N/a	Annual
3.2.5: % year 6 pupils (aged 10 and 11) measured as obese	16.0% (08/09 AY)	16.1% (09/10 AY) ▲	17.7% (10/11 AY) ▲	17.3% (11/12 AY) ▼	19.2% (11/12 AY)	-	Maintain below national average	January 2014	N/a	Annual
3.2.6: Prevalence of smoking among people living in Essex	18.55%	19.1% ▲	18.7% ▼	Aug 2013	20% (11/12)	-	Reduce to 18.3%	Aug 2014	N/a	Annual
3.2.7: Prevalence of diabetes among people living in Essex (GP Practice Level)	5.27%	5.5% ▲	5.7% ▲	October 2013	-	-	Available July 2013	October 2014	N/a	Annual
3.2.8: Rate of alcohol related hospital admissions (per 100,000 population)	1,350 ▲	1,518 ▲	1,607 ▲	Sept 2013	1,974 (11/12)	1,713 (11/12)	Keep rate of increase within 10%	Sept 2014	N/a	Annual
3.2.9: Take up of health screening programmes 13/14	-	-	North: 59.6% South: 54.8%	North: 52.1% South: 47.4% ▼	52% (11/12)	60% (11/12)	North and South: offer 85,880/uptake 51,905 (60%)	North: 52% South: 48% All Essex: 51% (Q1)	One to Watch	Quarterly
3.2.10: % drug users who leave treatment in a planned way	-	20.2%	24% (to Dec 11)	19.6%	Awaiting National Release	Awaiting National Release	Upper quartile	July 2014	N/a	Annual
3.2.11: % adults who exercise Proxy	21.6% ▼	20.9% ▼	21.1% ▲	22.6% ▲	-	23.7%	Increase access to sport and physical activity opportunities	Autumn 2013	N/a (see page 12)	Annual
3.2.12: Number of people using the Hadleigh Olympic Legacy Venue	New for 2013/14						Increase numbers using for active recreation	July 2014	N/a	Annual

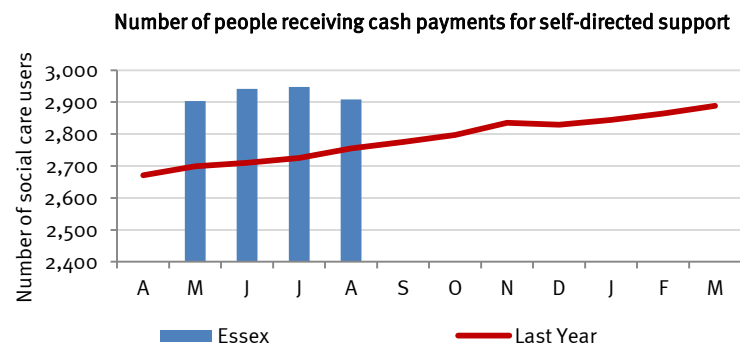
Outcome 3: Protecting and enhancing the environment in Essex

Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2011/12 National Average	2011/12 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
3.3.1: User satisfaction with country parks ▲	97%	96%	96%	95%	-	-	Maintain at least 95%	-	N/a	Quarterly
3.3.2: % footpaths and rights of way that are easy to use 13/14 ▼	60.9%	80.4%	76.3%	71.2%	-	-	67% (provisional)	64% (Half Year)	On Track	Six Monthly
3.3.3: Hectares of rare wildlife habitats restored or re-established 13/14 ▼	-	-	70.1	2	-	-	45 hectares	0	One to Watch	Quarterly
3.3.4: Tonnes of CO2 emitted within the authority's buildings and specified operations 12/13 ▼	-	103,392	85,260	106,790	-	-		August 2014	N/a (see page 13)	Annual
3.3.5: % household waste sent for re-use, recycling or composting 13/14 ▲	46.24%	50.1%	51.95%	52.62%	48.28% (11/12)	-	53.12%	53.91% (July 13)	Off Track (see page 13)	Monthly
3.3.6: The level of household waste generated across Essex (Kgs) 13/14 ▼	586.0	548.08	516.09	500	-	-	495kgs	178 (July 13)	One to Watch (see page 13)	Monthly

What is the latest data telling us?

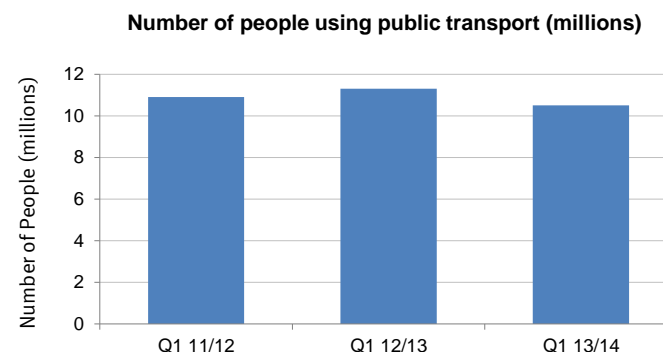
3.1.1: Growth in cash payments for self-directed support has been slower than 2012/13 (which saw an overall growth of 8.8%), however it is too early to tell if this is an ongoing trend

August 2013 saw 2,908 social care users receiving cash payments compared to 2,755 in August 2012 which represents a 5.6% increase.



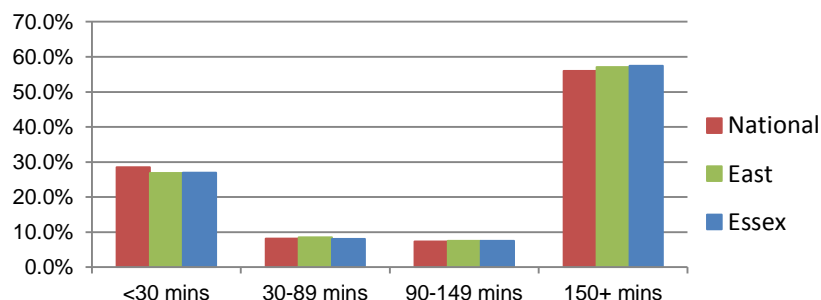
3.1.7: Bus passenger numbers for Q1 2013/14 are broadly in line with levels seen in previous years

Whilst Q1 2013/14 saw a drop in passenger numbers compared to Q1 2012/13 (from 11.3m to 10.5m), levels are broadly in line with the 10.9m seen in Q1 2011/12. Nationally there is trend of decline.

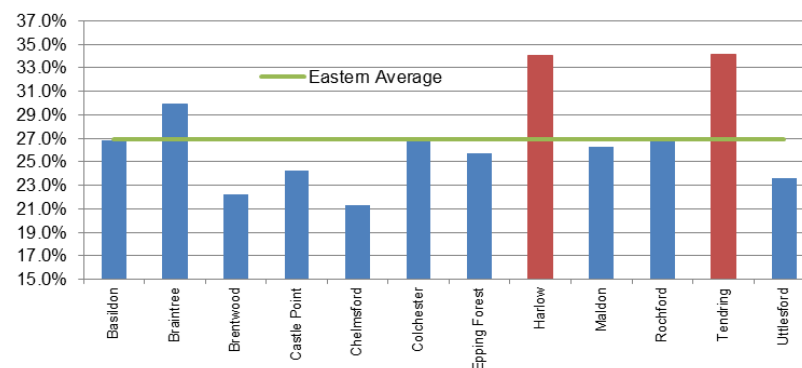


3.2.11: Whilst Active People Survey data not due until the Autumn, recently released data from the Department of Health suggests Essex performs well with regards to adults achieving recommended levels of physical activity

Adult Physical Activity Participation (aged 16+ yrs)



Adult Physical Participation for less than 30 Minutes Per Week (Aged 16+)

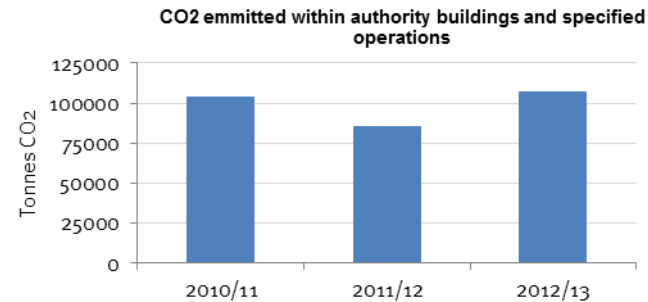


At 57.4%, Essex is above both the national (56.0%) and Eastern (57.1%) averages for the proportion of adults (16+) who met the Chief Medical Officer's recommended guidelines on levels of physical activity (at least 150 minutes a week). We are also below the national average for the proportion of adults who are considered inactive (less than 30 minutes of activity a week). However, whilst performance is generally positive across Essex, there does appear to be hotspots in Harlow and Tendring who have a high proportions of inactive adults and low proportions of those meeting the recommended levels of activity (compared to the Eastern averages). This data differs from the Active People Survey data (October snapshot) as it is based upon a wider definition of physical activity and does not focus upon sport and active recreation. This analysis will be used to further strengthen the intelligence base being used to underpin conversations with districts to identify interventions to encourage participation in physical activity. In terms of targeted work in areas of relatively lower activity ECC are working to secure external resources to support targeted physical activity work with those who are inactive. It should be noted however, that while the majority of the population appear active to a degree, the avoidable adverse health and social care impact of those who are inactive must be a priority for resource invested in physical activity.

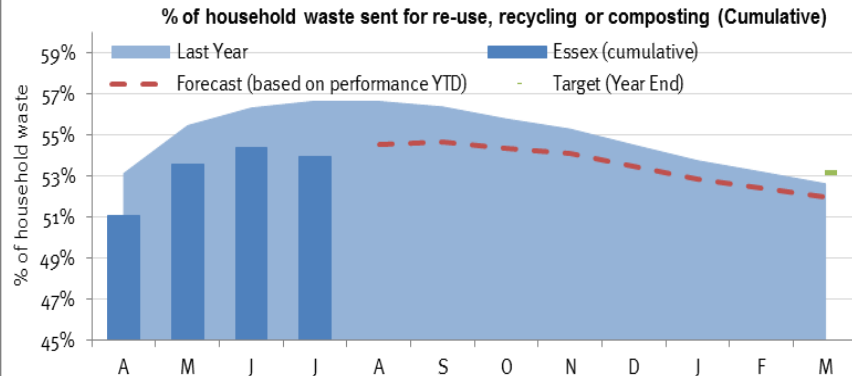
3.3.4: Change in reporting requirements, coupled with an increase in gas consumption during winter has resulted in increased CO2 emissions for 2012/13

The ambition for a 5% reduction in CO2 emissions was not achieved during 2012/13, with recently available data showing an approximate increase in emissions by 25%. This is partly due to the requirement for 100% reporting of relevant electricity and gas supplies (previously we had to cover at least 90% of supplies) and partly due to increased gas consumption during last winter.

On a like for like comparison i.e. revising the baseline to take into account weather variables, a 13% reduction in consumption can be demonstrated.

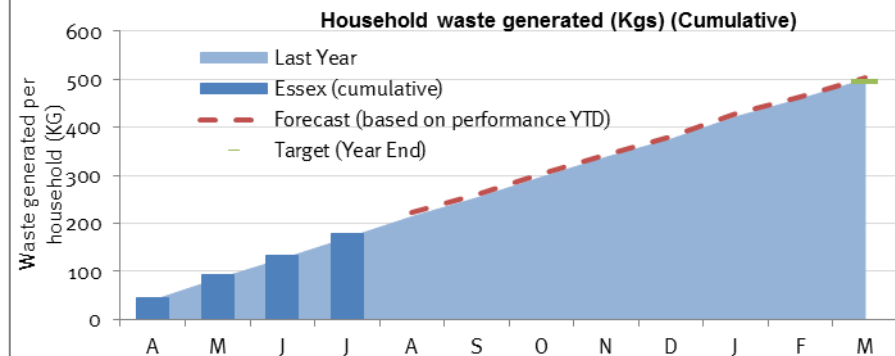


3.3.5: Slow down in dry recycling and increased waste arisings leading to a decline in overall diversion rates to re-use, recycling and composting.



This is in part being mitigated by increases in composting activity as a result of ECC investment – however if trend was to continue, the year end target would be missed. It is hoped that service provision enhancements in Colchester and public engagement activity in low performing areas will help to reverse the trend. The forecast year end projection (based on data up to July) suggested 51.95% against the year end target of 53.12%. Recent unaudited data is starting to show a small improvement in performance, albeit still below expected levels. This will be subject to close monitoring and will be reported on again in the Q3 report.

3.3.6: Forecast projection for waste arisings is being impacted upon by high levels of waste during April 2013



April 2013 saw higher than expected waste arisings, primarily at the Recycling Centers for Household Waste (RCHWs). This may have been a result of the poor weather in March, prompting people to hold onto waste into April. In recent months, waste arisings have started to return to expected levels bringing the forecast year end projection closer to the year end target (504.93kgs against year end target of 495kgs).

Priority 4: Protecting and Safeguarding Vulnerable People

What does success look like?

- People receiving social care services have good physical, mental and emotional wellbeing and adults with learning disabilities have opportunities for employment
- Low numbers of older people admitted to hospital following falls, adults using our services feel safe and our processes for safeguarding vulnerable children are effective
- Children in care enjoy stable placements or are placed permanently with adoptive families and carers are supported to enjoy a good quality of life

Where have we come from and where do we want to be?

Outcome 1: Enabling vulnerable people to enjoy a better quality of life

Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2012/13 National Average	2012/13 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
4.1.1: Social Care quality of life score – based on survey responses	-	18.6	18.9 ▲	Awaiting National Release	18.9 (11/12)	18.96 (11/12)	To be set	July 2014	N/a	Annual
4.1.2: Number of adults with learning disabilities in paid employment	378 ▼	385 ▲	362 ▼	339 ▼	-	-	Maintain current levels of employment	January 2014	N/a	Quarterly

Outcome 2: Protecting Essex residents from harm and injury

Indicators of Success	Historical Essex Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2012/13 National Average	2012/13 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
4.2.1.1: Hospital admissions due to falls for people aged 65 years and over (per 100,000 pop)	-	2,253.0	Awaiting National Release	Awaiting National Release	2,475.3 (10/11)	2,208.4 (10/11)	To be set once baseline data available	Autumn 2015	N/a	Annual
4.2.1.2: Hospital admissions due to fall injuries for people aged 65 years and over (per 100,000 pop)	-	1,483.0	Awaiting National Release	Awaiting National Release	1,641.6 (10/11)	1,440.4 (10/11)	To be set once baseline data available	Autumn 2015	N/a	Annual
4.2.2: % people who use services who feel safe	-	63%	70% ▲	Awaiting National Release	-	-	To be set	July 2014	N/a	Annual
4.2.3: % people who use services who say that those services have made them feel safe and secure	-	-	89%	Awaiting National Release	-	-	To be set	July 2014	N/a	Annual
4.2.4: Effective processes in place to safeguard vulnerable children	Success will be measured by continued evidence of improvement in processes to safeguard vulnerable children									
4.2.5: Local Authority Ofsted judgements (Children's Services)	Un-satisfactory	Un-satisfactory	Satisfactory	Fostering Service = Good	-	-	Continued evidence of improvement in Children's social care	-	N/a	Annual

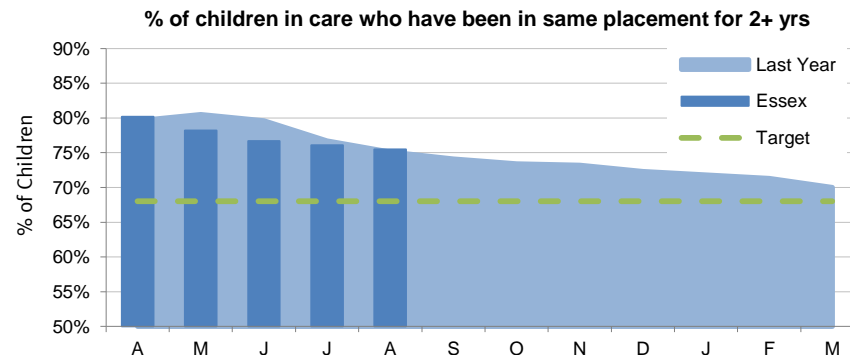
Outcome 3: Supporting parents, carers and families to create safe and stable homes

Indicators of Success	Historical Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2012/13 National Average	2012/13 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
4.3.1.1: % Children in Care who have been in the same placement for 2 or more years 13/14	67.1% ▲	65.9% ▼	70.7% ▲	70% (provisional) ▼	68.0% (11/12)	67.2% (11/12)	68%	75.5% (Aug 2013)	On Track (see page 15)	Monthly
4.3.1.2: % Children in Care who have had 3 or more placements in the year 13/14	7.8% ▼	8.8% ▲	8.9% ▲	8.9% (provisional) ▲	11% (11/12)	12.5% (11/12)	10%	1.4% (Aug 2013)	On Track (see page 16)	Monthly
4.3.2: % Children in Care who are in foster care family placements 13/14	74.3% ▲	70.6% ▼	75.8% ▲	74.3% (provisional) ▼	75% (11/12)	76% (11/12)	75%	74.2% (Aug 2013)	On Track (see page 16)	Monthly
4.3.3: % Children in Care for whom adoption was part of their care plan and were successfully adopted 13/14	13.1% ▲	10% ▼	13.5% ▲	10.9% (provisional) ▼	13% (11/12)	11% (11/12)	Target not yet confirmed	19.8% (Aug 2013)	On Track	Monthly
4.3.4: Number of carers assessments provided to adults who regularly care for others (per 1,000 population age 18+ years) 13/14	12.1 ▼	15.1 ▲	13.1 ▼	13.59 ▲	10.5 (11/12)	10.32 (11/12)	Maintain top quartile within our comparator group	3.39 (July 13)	N/a	Monthly
4.3.5: Number of carers receiving direct payments (per 10,000 population age 18+ years) 13/14	5.79	7.23 ▲	5.69 ▼	4.92 ▼	17.16 (10/11)	17.32 (10/11)	Reduce gap with comparators	2.51 (July 13)	N/a	Monthly
4.3.6: Satisfaction of carers receiving support from Essex County Council	New for 2012/13			36.1%	-	-	To be set	July 2014	N/a	Annual

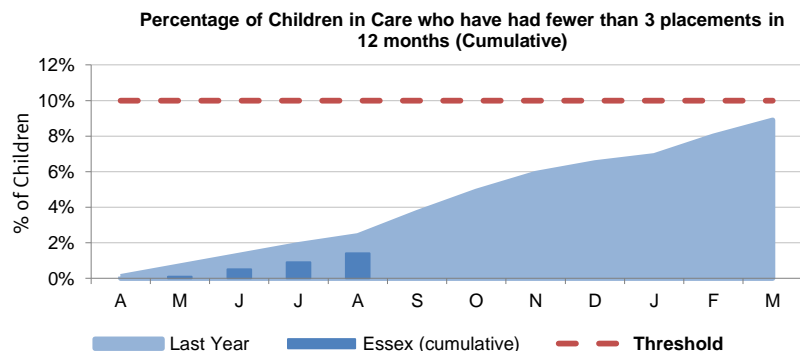
What is the latest data telling us?

4.3.1.1: Proportion of children in care who have been in the same placement for 2+ years had begun to fall compared to the same period last year

However, August 2013 saw a reversal of this trend with performance back in line with the same period last year. Given the trend over 2012/13, this suggest performance is now back in line with the 68% target for the end of 2013/14.

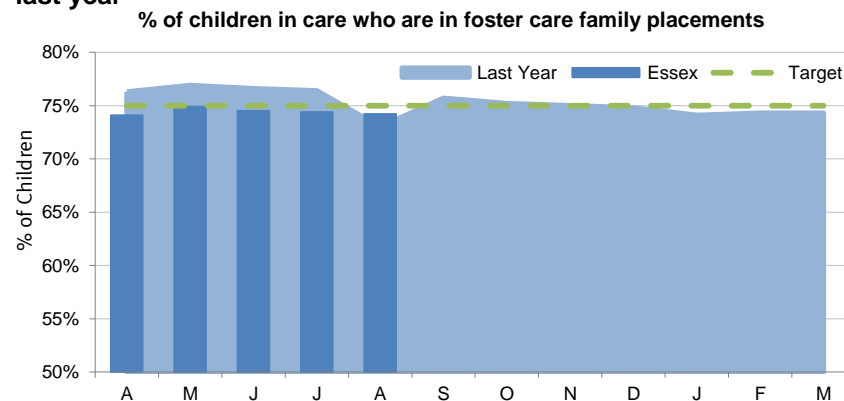


4.3.1.2: There has been improvement in the proportion of children in care with fewer than 3 placements, compared to the same period last year



Performance remains well below the maximum threshold. It is not appropriate to set targets against this indicator, instead the aim is to remain below the 10% threshold.

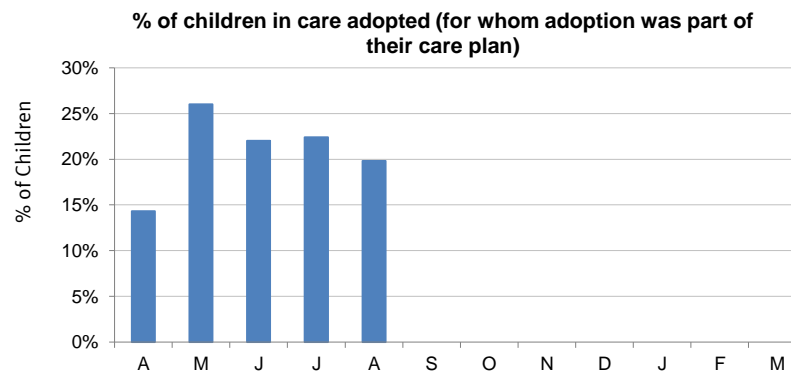
4.3.2: Until recently, 2013/14 had been experiencing a fall in the proportion of children in care fostered compared to the same period last year



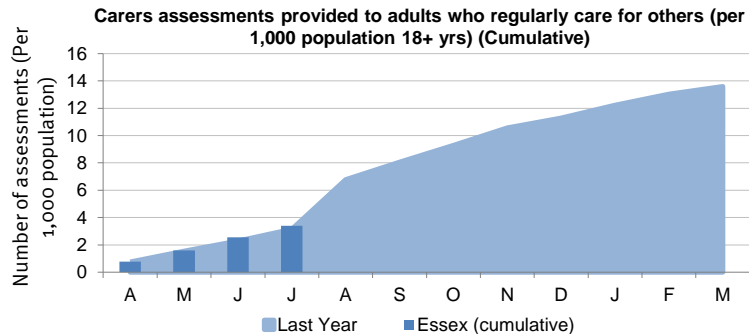
This may in part be explained by the rise in adoption levels (so far, all of the children adopted in 2013/14 were in fostering prior to adoption). Another factor may be the increasing age profile of the current cohort of children in care, with potentially increasing numbers of children transferring over to independent living.

4.3.3: Data suggests an increase in the number of adoptions compared to the same period last year.

19.8% of children in care were adopted as at August (for whom adoption was part of their care plan). This equates to 40 children adopted up to August 2013, compared to 25 during the same period in 2012/13 and 70 for the whole of 2012/13. However, caution should be applied as this may in part be a reflection of improved recording of adoption data.

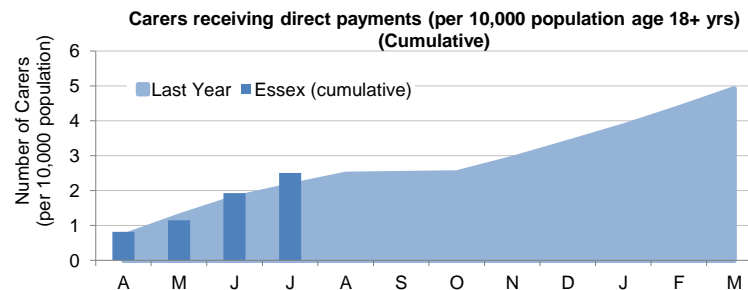


4.3.4: The number of carers assessments completed during 2013/14 have been broadly in line with levels achieved last year



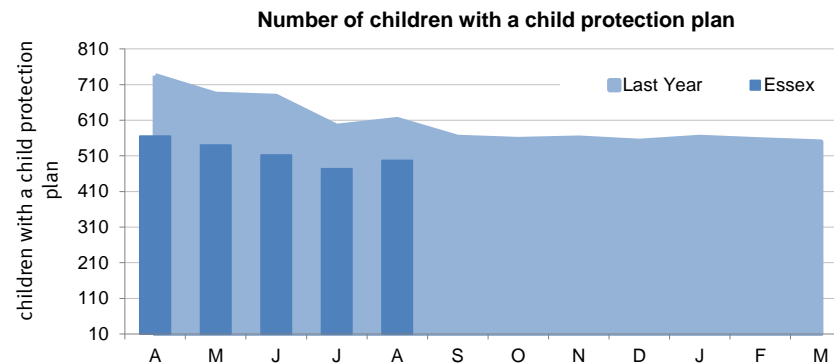
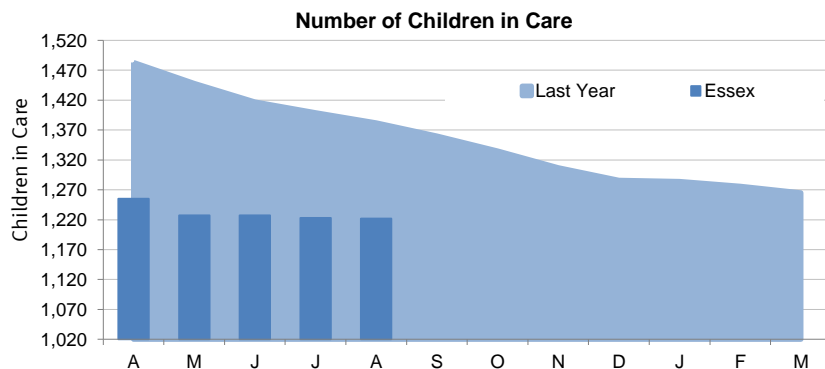
We now await 2012/13 comparative data

4.3.5: The proportion of carers receiving direct payments during 2013/14 have been broadly in line with levels achieved last year



We now await 2012/13 comparative data

2013/14 sees a continued downward trend in the number of children in care, although rate of decline is slowing



Credible alternatives to care appear to be working – the overall number of children in care was 1,222 at the end of August 2013, continuing the downward trend since the peak in 2010/11, although numbers have been relatively stable over recent months. It is also worth noting that recent trends show an increase in the number of teenagers entering care. The number of children subject to a Child Protection Plan has also shown further decline down to 497 in August 2013 compared to 549 at the end of 2012/13.

Priority 5: Giving People a Greater Say and Greater Role in Building Safer and Stronger Communities

What does success look like?

- Low levels of crime and residents feel safe in their communities, traders do not partake in rogue or dangerous behaviour and Essex roads are safe
- Residents are involved in their communities
- There is closer working between local authorities across Essex, and Citizens have power to scrutinise and challenge public services

Where have we come from and where do we want to be?

Outcome 1: Making Essex a safer county in which to live and work

Indicators of Success	Historical Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2012/13 National Average	2012/13 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
5.1.1: Number of crimes per 1,000 residents committed in Essex 13/14	57.4 -	56.5 ▼	56.5 ▶	53.6 ▼	-	56.1	Continued reduction in crime	13.2 (Q1)	On Track (see page 19)	Quarterly
5.1.2: % of residents who feel safe after dark	58.6% ▲	64.5% ▲	60.3% ▼	59% ▼	-	-	Improve the feeling of safety in communities	July 2014	N/a	Annual
5.1.3: % businesses causing consumers significant detriment which are brought to compliance within 12 months 13/14	New for 2012/13			87%			80% brought to compliance	88% (June 2013)	On Track	Quarterly
5.1.4: Number of people injured in road traffic collisions (excluding fatal and serious injuries)	3,667 ▼	3,440 ▼	3,641 ▲	3,561 ▼	-	-	Reduction to 3,561	July 2014	N/a	Annual
5.1.5: Number of people killed or seriously injured on Essex's roads	658 ▼	662 ▲	630 ▼	602 ▼	-	-	Reduction to 593	July 2014	N/a	Annual

Outcome 2: Encouraging Essex residents to influence decisions and shape their communities

Indicators of Success	Historical Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2011/12 National Average	2011/12 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
5.2.1: % residents who would like to be more involved in decision making	30% ▲	32% ▲	25.9% ▼	29% ▲	-	-	Further engage residents to encourage involvement	July 2014	N/a	Annual
5.2.2: % residents who are interested in getting involved to improve their local community	-	-	51.4% ▼	48.1% ▼	-	-	Further engage residents to encourage involvement	July 2014	N/a	Annual
5.2.3: % residents engaged in volunteering	-	30% ▼	28% ▼	21% ▼	-	-	Support and develop opportunities to get more involved in communities	July 2014	N/a	Annual

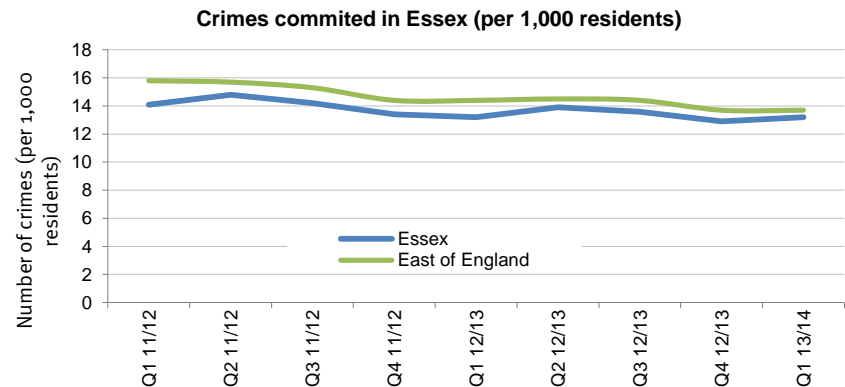
Outcome 3: Helping communities play a greater role in challenging local services

Indicators of Success	Historical Performance				Comparative Performance		Current Essex Performance			
	2009/10	2010/11	2011/12	2012/13	2011/12 National Average	2011/12 Statistical Neighbour Average	Aim	2013/14	Performance Judgement	Frequency of data
5.3.1: Successful development of Locality Boards across Essex	Success will be measured through the support and promotion of closer working between local authorities across Essex via the establishment of Locality Boards									Annual
5.3.2: Successful development of HealthWatch	Success will be measured by the establishment of HealthWatch Essex Pathfinder									Annual

What is the latest data telling us?

5.1.1: Essex remains below the East of England average for recorded crime, but the gap is closing

At 13.2 per 1,000 residents, the 2013/14 Q1 reported crime rate is currently in line with levels recorded for Q1 2012/13. Whilst Essex remains below the East of England average (13.7 per 1,000 residents), the gap does appear to be reducing.



		AGENDA ITEM 7
		CSC/13/13
Committee:	Corporate Scrutiny Committee	
Date:	22 October 2013	
<u>FORWARD LOOK/ WORK PROGRAMME 2013/14</u>		
Enquiries to:	Robert Fox, Scrutiny Officer 01245 430526 robert.fox@essex.gov.uk	

Purpose of Report

The purpose of this report is to set out the Committee's current work programme for consideration.

Meeting	Topic	Approach	Current status
November 2013, then February 2014, and May 2014	Transformation II	Full Committee	Standing item (quarterly reports)
November 2013	Absence Management	Full Committee	To receive data on staff absences throughout ECC
December 2013	Broadband Coverage in Essex	Full Committee	
December 2013	Property Transformation Strategy	Full Committee	
January, April and July 2014	Budget Outturn Reports	Full Committee	Standing item (quarterly reports)
January, April and July 2014	Performance Management	Full Committee	Standing item (quarterly reports)
Winter 2013/14	Social Impact Bonds	Full Committee	
Winter 2013/14 (anticipated)	Health and Safety: John Ray Infant School	Full Committee	Ongoing Essex Fire Service investigation. Once complete the report will be presented to the Committee (Min. 4(iv) o Executive Scrutiny Committee 26 February 2012)
Ongoing	External & Internal Communications	Task and Finish Group	In hiatus due to Transformation II
Ongoing	Procurement	Task and Finish Group	Scrutiny Board referred item to Executive Scrutiny following the

	Strategy		initial presentation late 2012. T &F Group commenced activity in September 2013
Ongoing	Engaging with Essex	Task and Finish Group	T&F Group established at the July 2013 meeting of the Committee. Membership as per the External & Internal Communications T&F Group

**Corporate Scrutiny Committee:
Schedule 2013/2014 (provisional)**

Meeting	Topic	Approach	Current status
2012/13			
January and February 2013 and ongoing.	Transformation Programme Scrutiny Review: Beyond 2012/13	Full Committee	<p>To understand the overall vision for the Transformation II Programme and the methodology for its delivery. Also to ensure the governance arrangements are appropriate for a project of this importance and that the maximum benefit to the Council and to public services in Essex is derived from the Programme.</p> <p>Commissioned Services are key in terms of checking the arrangements and scrutiny adding value to the commissioned services.</p> <p>Quarterly updates received by the Full Committee.</p> <p>The Committee will also receive a report on the Property Transformation Strategy.</p>
TBA	Health and Safety at Work	Full Committee	<p>The Committee agreed at its 30 May 2012 meeting that as assessment of whether ECC fulfils its H&S legislative requirements in a scrutiny review which will look at the approach the Authority takes to H&S; and to ensure the Authority is fully compliant with relevant H&S legislation. A scoping document was agreed in January 2013. Presentation received in February 2013 – further update in due course. Given the fire at John Ray Infant School, Braintree and the subsequent investigation into the cause the Chairman of this Committee has requested that the final investigation report is received by the Committee as the issue of fire sprinklers in schools was raised at the</p>

			Executive Scrutiny Committee meeting in February 2013 (Executive Scrutiny minute 4(vi)).
Ongoing via T&F Group	External and Internal Communications	Task and Finish Group	The Committee considered a scoping document (ES-SCR-01/12) and agreed that a Task and Finish Group be convened. This Group has met twice to date, and it is anticipated there will be at least two further meetings. The Committee received an interim report in September 2012. This is currently in hiatus due to the Transformation II programme.
From May 2013 via T&F Group	Procurement Strategy	Task and Finish Group	<p>The Committee agreed at its 30 May 2012 meeting that the Deputy Leader be invited to attend the Committee to present on the strategy which is to be revised in September 2013 to ensure the strategy, as it exists and in future, ensures the procurement of cost-effective, high quality services for the residents of Essex. The report was received in November 2012. It was agreed to refer to the Scrutiny Board for further instruction. The Scrutiny Board in January 2013 referred back to Executive Scrutiny to conduct a review via Task and Finish Group with the caveat this commences post-May 2013.</p> <p>Task and Finish Group established and met to agree Terms of Reference in November 2013.</p>
Ongoing via T&F Group	Engaging with Essex	Task and Finish Group	Task and Finish Group established with the same membership as the External and Internal Communications Task and Finish Group. First meeting in September 2013; second meeting October 2013.
November 2013	Broadband Coverage in Essex	Full Committee	

TBA	Social Impact Bonds	Full Committee	
Standing Items			
Ongoing	Quarterly Budget Reports	The Committee will receive quarterly financial reviews.	The quarterly 2011/12 Financial Reviews were presented throughout the year. The 2012/13 quarterly reviews will be presented to Committee throughout the year. The final one to be received in June 2013. The 2013/14 reports will continue to be reported to the Committee on an ongoing quarterly basis.
3 April 2012	Performance Management		The Executive Scrutiny Committee takes an overview of the performance scorecard and referrals to other Policy and Scrutiny Committees. At its 15 February (ES/005/11, minute 7) and 26 April (ES/022/11, minute 33) meetings the Committee considered the new arrangements for reporting performance. Quarter One Performance Report will be presented in July 2012; Quarter Two in October 2012; Quarter 3 in January 2013; and the Annual Report in the summer or early autumn 2013.
1 May 2012	Capita Resourcing		The final report prepared by a Task and Finish Group convened from the Central Services Policy and Scrutiny Committee was presented for consideration on 25 January 2011. Report ES/024/11 containing an update from the Task and Finish Group was presented on 26 April 2011 where it was subsequently agreed that the topic would be brought to the 1 May 2012 meeting for continued monitoring. This report was received in July 2012. Committee requested a further update to monitor improvement in 2013 which will be received in October 2013.

26 June 2012	Customer Satisfaction, Complaints and Public Relations		The Executive Scrutiny Committee considered report ES/029/11 at its meeting on 24 May meeting, with Duncan Wood, Head of Research and Intelligence in attendance to introduce the report, answer questions and provide an update. The Committee agreed that a report providing details of the current performance in this area was brought to the July 2012 meeting (minute 42, 2011). The Committee will receive annual customer satisfaction reports. The first of which will be October 2013.
5 November 2012	Absence Management	Task and Finish Group	The Community Wellbeing and Older Persons Policy and Scrutiny Committee undertook a review into Absence Management for its' relevant Directorate. The Executive Scrutiny Committee awaited the outcome of this scrutiny before continuing with their review. The final scrutiny review report was agreed at the Community Wellbeing and Older Persons Policy and Scrutiny Committee on 9 December. A copy of this report was presented at the 25 January 2011 meeting of the Executive Scrutiny Committee, along with absence figures for all service areas. The Committee agreed (25 January, minute 6) that the topic would be referred to the Scrutiny Board, with a view to discussing each Policy and Scrutiny Committee reviewing their respective directorate absence statistics. The Scrutiny Board subsequently agreed that the Community and Older People P & SC undertakes this task on behalf of all P & SC's and established a Task and Finish Group which would report to Executive Scrutiny. The Committee agreed in September 2012 that this review was now complete given that absence rates within ECC are lower

			<p>than comparable public sector organisations. A final report was presented to the 5 November 2012 Committee. The Committee will receive updated data in November 2013.</p>
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