

<b>Report title:</b> 2016/17 Financial Overview as at the First Quarter stage	
<b>Report to:</b> Cabinet	
<b>Report author:</b> Margaret Lee – Executive Director for Corporate and Customer Services and Section 151 Officer	
<b>Date:</b> 19 July 2016	<b>For:</b> Decision
<b>Enquiries to:</b> Margaret Lee, Executive Director for Corporate and Customer Services	
<b>County Divisions affected:</b> All Essex	

## **1. Purpose of report**

- 1.1 The purpose of this report is to set out the forecast financial position of Essex County Council's (ECC) revenue and capital budgets as at the first quarter of the 2016/17 financial year. This reports a full year forecast over spend on revenue of **£6.3m** and an over spend of **£1.1m** on capital after proposed adjustments. Plans to recover the revenue position are in development.

## **2. Recommendations**

Approval is sought in relation to the following actions:

- 2.1 To draw down funds from reserves as follows:
- I. **£879,000** from the Capital Receipts Pump Priming reserve to the Leader Recharged Support Services Budget in respect of forecast revenue expenditure associated with the disposal of properties
  - II. **£717,000** from the Carbon Reduction reserve to Leader Recharged Support Services portfolio in relation to the annual Carbon reduction payment
  - III. **£240,000** from the Transformation reserve to Health portfolio in respect of the Mental Health Transformation project
  - IV. **£216,000** from the Transformation reserve to Corporate, Communities and Customers portfolio in respect of the Prototypes project within Customer Services
  - V. **£150,000** from the Health and Safety reserve to Finance, Housing and Planning Recharged Support Services portfolio relating to Schools Asbestos Surveys

- VI. **£25,000** from the Quadrennial Elections reserve to Leader portfolio in respect of in year by-election costs
- VII. **£1.2m** from the Schools PFI reserves to the Education and Lifelong Learning portfolio relating to Clacton PFI (**£883,000**), Building Schools for the Future (**£170,000**) and Debden PFI (**£120,000**) to meet the unitary charge costs not met by income from the schools and Schools Forum (see 5.1.7III)
- VIII. **£500,000** from the General Balance in order to re-allocate a savings target within the Traded Strategy, initially allocated to the Business Incubator, to Traded Services EES (see 2.2III)
- IX. **£700,000** from the General Balance to Infrastructure, Highways and Transport portfolio for road and footway maintenance to accommodate a request for a carry forward from the 2015/16 under spend.

2.2 To appropriate funds to reserves as follows:

- I. **£145,000** to the Transformation Reserve from Adult Social Care portfolio in relation to the Good Lives project
- II. **£144,000** to the Reserve for Future Capital Funding from Leader Recharged Support Services portfolio in respect of capitalisation of costs associated with the Asset Management Programme (see 5.1.14II)
- III. **£500,000** to the General Balance in order to re-allocate a savings target within Traded Strategy initially allocated to the Business Incubator, to Traded Services EES (see 2.1VIII)

2.3 To approve the following adjustments:

- I. To vire **£130,000** from Adult Social Care portfolio to Leader Recharged Support Services portfolio relating to the move of Information, Advice and Guidance posts
- II. To vire **£118,000** from Education and Lifelong Learning portfolio to Adult Social Care portfolio to realign the staffing budgets for the People Commissioning Management Team
- III. To vire **£85,000** from the Adult Social Care portfolio to the Health portfolio (**£47,000**) and Children and Families portfolio (**£38,000**) to realign Best Value Outcome Review savings to where they are being delivered
- IV. To vire **£156,000** from Finance, Housing and Planning Recharged Support Services portfolio to Leader Recharged Support Services

portfolio relating to Transforming Corporate Systems savings being moved from Commissioning Delivery to Corporate Operations

- V. To vire **£1.1m** from Corporate Communities and Customers Recharged Support Services portfolio and **£590,000** from Corporate Communities and Customers portfolio to Finance, Housing and Planning Recharged Support Services portfolio (**£1.3m**) and Leader Recharged Support Services portfolio (**£351,000**) as a result of a review of support services budgets.
- VI. To vire **£500,000** from Other Operating Costs portfolio to Leader portfolio for the Innovation fund. A new policy line in the Leader portfolio is also required for this purpose (see 5.1.9II).

## Executive Summary

### 3. Revenue

- 3.1 Appendix A summarises the revenue budgets and forecast outturn for each portfolio. There is a full year forecast over spend of **£6.3m**, driven by **£7.6m** on net expenditure and offset by additional grant funding of **£1.3m**. This is **0.7%** against a total expenditure budget of **£936.5m**, which assumes full commitment of the remaining **£4.0m** in the emergency contingency, and incorporates **£9.1m** of carry forward requests, approved by Cabinet in June 2016 (ref FP/481/04/16). Plans to mitigate this overspend are now in development by the services.
- 3.2 There is an over spend of **£7.4m** on Adults Social Care, is primarily due to higher than predicted activity in Working Age Adults Care and Support (**£3.5m**) and the Transitions service (**£3.8m**). The services are exploring opportunities for mitigating these over spends. There is also an expected under achievement of income of **£1.1m** in Other Operating Costs. This is due to current expectations that we will not receive a dividend this year as a result of ECL (a subsidiary company of ECC) being unlikely to generate sufficient net profit to enable a **£1.0m** dividend this year for ECC. These over spends are partly offset by higher than budgeted funding of **£1.3m**, most of which is due to the Business Rates Relief Compensation Grant.
- 3.3 There are a number of risks not included within the current forecast. Actions to mitigate these risks and the pressures within the forecast position will continue to be closely monitored.

### 4. Capital

- 4.1 The original capital programme for 2016/17 as set by Full Council in February 2016 was **£251.9m**. This has decreased by a net **£1.8m** as a result

of advancing works to 2015/16. In year approved changes of a net **£257,000** addition has resulted in a latest budget for the year at Period 2 of **£250.4m**. The forecast outturn is **£229m**. Before adjustments proposed within this report this represents an under spend of **£21.4m**, but assuming that budget change requests are approved there will be a residual over spend of **£1.1m**.

- 4.2** Appendix C (i) summarises current year forecasts along with changes in the Capital Programme for 2016/17 since approval of the original programme in the Budget Report to Council in February 2016. Appendix C (ii) contains the detail of the budget adjustments seeking approval.
- 4.3** Spend on capital works in the first two months of the year is **£24.2m**, this represents 11% of the programme's forecast spend for the year and is **£5.4m** under budget (**£29.6m**), which is slightly behind last year's actual to date after 2 months which was 16% of final outturn.
- 4.4** Whilst the forecast of **£229m** continues to be a challenging programme of capital works (almost twice the size of the 2013/14 final delivered programme of **£116m**), teams across ECC continue to focus on successful delivery.

## **2016/17 Revenue Position**

### **5.1 Comments are included below on the most significant of the variances against budget:**

#### **5.1.1 Adult Social Care - £7.4m over spend**

- I. The forecast outturn for the Adult Social Care portfolio is a **£7.4m** over spend (1.9% of net budget). In addition, there are net risks of **£18.4m** that could impact by year end.
- II. Care and Support pressures totalling **£6.8m** which relate to:
  - A net over spend of **£3.5m** on Working Age Adults due to domiciliary care (**£8.4m**), where the number of hours of care provided per week has increased 2.8% above budgeted levels and on day care where demand is higher than estimated (**£2.0m**). The pressures are partly offset by residential and nursing care (**£6.7m**) where the number of placements are currently 4.2% lower than budgeted. The Good Lives project is focussed on mitigating the residual demand pressures being experienced
  - Transitions Service (which supports young people with additional needs planning their journey from childhood to adulthood) **£3.8m** over spend due to growth in placements and market price

increases. The service has targeted full year savings of **£3.0m** which are not yet on track to be achieved. The service is focussing on reducing market price along with a number of volume related initiatives to mitigate this pressure

- Internal Residential provision is forecasting a **£420,000** under spend due to bed usage being below capacity.

- III. Housing Related Support (HRS) is forecasting to over spend by **£456,000** as a consequence of a delay in delivering planned savings projects due to the new HRS contract not being let until December 2016. This pressure is mitigated by the under spend within the Education and Lifelong Learning portfolio (see para 5.1.7).
- IV. There are net risks of **£18.4m**, predominantly relating to savings delivery (**£11.0m**), market price pressures (**£2.1m**) and potential increased transitions demand (**£2.1m**).

#### **5.1.2 Children and Families - £72,000 over spend**

- I. The over spend in 2016/17 is mostly attributable to an **£83,000** over spend in respect of domestic abuse contracts that are exceeding budget.

#### **5.1.3 Health - online**

#### **5.1.4 Corporate, Communities and Customer Services - £146,000 under spend**

- I. The under spend primarily relates to Coroners (**£224,000**) due to a reduced number of independent post mortems.
- II. Budgets across support services have been reassessed on a needs basis resulting in a number of changes across the portfolio. This has addressed pressures within services and reduced budgets that have frequently under spent in the past. Altogether a net **£1.6m** of budget has been released and will be transferred temporarily to the Finance portfolio. Once all opportunities and pressures have been identified across services the total net under spend will be returned to the General Balance, anticipated as a request in the Half Year report.

#### **5.1.5 Economic Growth and Partnerships – £26,000 under spend**

- I. There are no material variances to budget.

#### **5.1.6 Education and Lifelong Learning Dedicated Schools Grant (DSG) - £3.6m over spend**

- I. The Dedicated Schools Grant is ring fenced for use by Schools, therefore any variance arising will not be taken in account within

Essex County Council's forecast. Any variance in DSG is retained to be managed in future years.

- II. There is an over spend of **£2.6m** due to higher demand for Free Early Education Entitlement for 3 and 4 year olds. There is a further **£1.4m** over spend arising from assumed growth on Statutory Assessments of statemented and Education, Health and Care Plan pupils. Mitigation options in respect of revising hourly rates for Early Years was discussed at Schools Forum and work is underway for discussion at July's Schools Forum, to determine how they can mitigate.

#### **5.1.7 Education and Lifelong Learning Non DSG - £332,000 under spend**

- I. The under spend primarily relates to Premature Retirement costs (**£446,000**) due to a forecast decrease in the number of schools applying for funding to cover redundancy costs.
- II. The School Organisation team are forecasting a **£115,000** over spend due to additional resources required to implement the 10 year plan for meeting the demand for school places in Essex. Mitigation actions are being developed.
- III. The recommendations include a request to transfer funds from the PFI reserves to meet the gap where expenditure exceeds the contributions from relevant schools delegated budgets for ongoing costs, and contributions from the DSG. The balance is met from PFI credits which are held in the reserves, until the funding is required to ensure PFI contracts remain cost neutral each year.

#### **5.1.8 Infrastructure, Highways and Transport - £669,000 under spend**

- I. The under spend is as a result of the negotiated Concessionary Fares contract.

#### **5.1.9 Leader – £147,000 under spend**

- I. This under spend is in part due to Olympic Sports and Development where there is a lower than expected Levy payment to Lee Valley Park (**£79,000**). There are various other under spends in the portfolio.
- II. A new Innovation Fund is to be set up to invest in specific small scale and value investment proposals, for the purpose of either generating income or driving efficiencies from the organisation. This will be accountable to the Chief Executive and will be open to all employees.

#### **5.1.10 Finance, Housing and Planning - £367,000 over spend**

- I. The Council Tax Sharing scheme reports an additional **£202,000** to be paid back to District Councils. Whilst this is a cost on this portfolio, this is due to projected increase in Council Tax to be collected during 2016/17 providing extra income to ECC of **£1.1m** (the income will be recognised in the collection fund in 2017/18).

#### **5.1.11 Environment and Waste - £25,000 under spend**

- I. There are no material variances to budget.

#### **5.1.12 Other Operating Costs - £1.1m over spend**

- I. ECC do not expect to receive the budgeted **£1m** dividend from Essex Cares Ltd this year (a subsidiary company of ECC). There is also additional capital financing costs from taking advantage of lower rates in the run up to the EU Referendum (**£394,000**) and a **£500,000** contribution to the Innovation Budget to support new initiatives. Pressures are partially offset by increased income due to expected higher yield from long term investments (**£780,000**).

#### **5.1.13 Finance, Housing and Planning Recharged Support Services - £214,000 under spend**

- I. The under spend of **£214,000** is due to the review of support services budgets. (see 5.1.4II)

#### **5.1.14 Leader Recharged Support Services - £234,000 over spend**

- I. This over spend is in Information Services, due to currently unachieved savings of **£115,000** on Performance Management and **£178,000** as a result of the delay in Transforming Corporate Systems (TCS). The service continue to review options to mitigate these but current resource demand pressures on IS are making it difficult to reduce the estimated over spend.
- II. The recommendations include a transfer of **£144,000** to the Reserve for Future Capital Funding as a result of a review of appropriate capitalisation of costs associated with the Mitie contract.

#### **5.1.15 Corporate, Communities and Customers Recharged Support Services - £31,000 under spend**

- I. There are no material variances to budget.

## **6. Trading Activities**

- 6.1 Trading Activities are forecasting an online position. Appendix B shows the position by each Trading Activity. Risks across all trading activities are being actively managed and marketing strategies will ensure budgeted levels of income are achieved.
- 6.2 Library Services Slough Limited is closing on 30th June 2016. The trading account will remain open until all outstanding cessation tasks and liabilities have been settled.

## **7. Capital**

- 7.1 The latest forecast is an under spend of **£21.4m** against the latest approved capital budget of **£250.4m**. Assuming that budget change requests are approved there will be a residual under spend of **£1.1m**.
- 7.2 Approval is sought for:
  - I. Slippage of **£26.9m**
  - II. Budget additions of **£27.0m**
  - III. Budget reductions of **£26.4m**
  - IV. Advanced works of **£3.9m**
- 7.3 The key points to note are listed below, and the detailed requests are shown at Appendix C (ii).

### **7.4 Adult Social Care - £1.1m over spend**

- I. The over spend is due to the Winterbourne scheme where renovation costs of **£1.5m** are expected this year, which is subject to the financial viability of the scheme being confirmed. If the scheme is deemed financially viable, approval will be sought to advance works from 2017/18 in the Half Year Cabinet Report.

### **7.5 Children and Families – online**

### **7.6 Deputy Leader, Economic Growth and Partnerships - £303,000 under spend**

- I. This under spend is predominantly due to the Economic Growth Fund which supports bids received from Third Parties for projects that encourage and enable economic growth within the County. An under spend of **£353,000** is forecast against the 2016/17 allocation of **£1.7m** as no further projects are ready for delivery in 2016/17. Other projects are currently being considered for future development.



## **7.7 Education and Lifelong Learning - £9.8m under spend**

- I. **Early Years** – A review of the process for awarding grants to the providers of pre-school is due to start in June to ensure the use of S106 monies in a targeted approach, to ensure sufficient places in those areas of Essex in greatest need. This review is estimated to take 16 weeks and has resulted in the level of spend envisaged for 2016/17 reducing to **£1.2m** from the previous **£2.4m**, an under spend of **£1.2m**.
- II. **Basic Need Programme** – Following the production of the Education 10 year plan a scheme delivery review was carried out in May. This identified that there are a number of schemes where the assumptions for delivery date have changed and the scheme is now required later than previously anticipated. In addition there are some schemes where procurement was delayed as a result of the re-procurement of the ELEP contract to the ECF (Essex Construction Framework). This has led to re-profiling in the short term but will realise contractual savings over the longer term. Therefore **£7.1m** is required to be re-profiled in to 2017/18 however it is currently anticipated that all new school places will still be available when they are required.
- III. **Special School Schemes** – Following the cost escalation of the Schools Forum funded SEND, a review of what is feasible to be delivered and the timescales is underway. As a result it has also been identified that the budget for the Pupil Referral Unit project is unlikely to be spent this year. Therefore **£2.4m** is required to be re-profiled into 2017/18.
- IV. **Glenwood Special School** – Delays to the final contract signature with Morgan Sindall have put back the construction start date resulting in **£1.2m** needing to be re-profiled into 2017/18.

## **7.8 Environment and Waste - £464,000 under spend**

- I. There is an under spend predominantly due to a hold being in place on the Shrub End Infrastructure procurement until ECC are satisfied this site provides the best solution, this has resulted in slippage of **£650,000** into 2018/19. The hold will be in place for a period of up to 6 months at which point a decision will be taken as to whether to proceed.

## **7.9 Finance, Housing and Planning - £123,000 over spend**

- I. There is an over spend due to the required investment in the old Adult Community Learning accommodation in Rochford to enable the development of 60 Independent Living units. This is being addressed by a Cabinet Member Action.

## **7.10 Infrastructure and Highways Delivery - £12.9m under spend**

- I. **Infrastructure Connectivity** – An under spend of **£13.2m** relates to the 2016/17 Local Growth Fund (LGF) transport projects. Of

this **£9.2m** relates to the Harlow Enterprise Zone and A414 Pinch Point Packages where the 2016/17 budget profile is incorrect and needs to be re-profiled to reflect the correct delivery profile. The remaining projects have been thoroughly reviewed as more detailed delivery plans were produced following detailed design work and responses to tenders being received towards the end of 2015/16 which has led to **£4m** re-profiling into future years. However, it is still anticipated that the schemes will complete within the required timeframe.

- II. **Local Highways Panels** – A supplementary allocation of **£1.5m** is requested comprising an addition of **£1.2m** in relation to prior years' commissioned schemes that need to be delivered in 2016/17. A further addition of **£168,000** is required to reflect contributions received from Uttlesford and Epping Forest District Councils and a corresponding ECC match funding transfer of **£168,000** from the Roads Maintenance budget.

#### **7.11 Leader - £1.1m over spend**

- I. **Capitalised building maintenance** – planned works up to the value of **£2.2m** have been accelerated including an urgent asbestos remedial programme, requiring **£2.2m** to be advanced from 2017/18 into 2016/17.
- II. **ECL Investments (IS and Property)** – ECL requirement for investment has not been as expected therefore budget of **£1.3m** can be removed from the capital programme.

#### **7.12 Corporate, Communities and Customers - online**

#### **7.13 Controlled Elsewhere - £311,000 under spend**

- I. Approval is sought to slip **£232,000** into 2017/18 in relation to the Schools Devolved Formula Capital grant.

### **8. Policy context and Outcomes Framework**

- 8.1 This report is an assessment of the financial position of the County Council, which itself is a representation of the corporate plan. The budget and corporate plan were approved in parallel in February 2016.

### **9. Financial Implications**

#### **Finance and Resources Implications (Section 151 Officer)**

- 9.1 The report is authored by the S151 Officer and all actions proposed within this report are within the available funding and are considered appropriate actions to deal with variances arising and to support the 2016/17 budget.

- 9.2 Given the current economic climate, as a result of the referendum decision to leave the EU, and the continuing need to reduce public spending to contribute to the resolution of the structural deficit, it is important that continued scrutiny is applied at all expenditure levels to ensure overall spending stays within budget.

## **10. Legal Implications**

- 10.1 The Council is responsible for setting the budget each year. Once agreed the executive then have to implement the policy framework and keep within the budget, subject to the limits set by Financial Regulations.

## **11. Staffing and other resource implications**

- 11.1 There are no staffing or other resource implications associated with this report.

## **12. Equality and Diversity implications**

- 12.1 Section 149 of the Equality Act 2010 creates the public sector equality duty which requires that when ECC makes decisions it must have regard to the need to:
- (a) Eliminate unlawful discrimination, harassment and victimisation and other behaviour prohibited by the Act
  - (b) Advance equality of opportunity between people who share a protected characteristic and those who do not.
  - (c) Foster good relations between people who share a protected characteristic and those who do not including tackling prejudice and promoting understanding.
- 12.2 The protected characteristics are age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, gender and sexual orientation.
- 12.3 The equality implications are assessed as part of budget setting process and as part of individual schemes.
- 12.4 There are no equality and diversity or other resource implications associated with this report.

## **13. List of Appendices**

Appendix A	Revenue Forecast Outturn
Appendix B	Trading Activities
Appendix C (i)	Capital Forecast Outturn
Appendix C (ii)	Capital Variance Plan

**(Available at [www.essex.gov.uk](http://www.essex.gov.uk) if not circulated with this report)**

## Appendix A

REVENUE											
2016/17											
	Year to Date			Budget Movement		Forecast Outturn					
	Latest	Actual	Variance	Original	Movement	Latest	Forecast	Forecast	Variance	Residual	RAG
	Budget			Budget		Budget	Outturn	Variance	Plan	Variance	Status
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	
Adult Social Care	69,836	70,102	266	392,404	3,361	395,765	406,099	10,334	2,914	7,420	❖
Children and Families	20,164	19,433	(731)	115,723	947	116,670	116,780	110	38	72	❖
Health	3,708	2,811	(897)	23,549	39	23,588	23,875	287	287	-	❖
Corporate, Communities and Customers	4,773	6,779	2,006	15,906	3,630	19,536	19,077	(459)	(313)	(146)	❖
Economic Growth and Partnerships	311	767	456	5,479	1,186	6,665	6,639	(26)	-	(26)	■
Education and Lifelong Learning											
Education and Lifelong Learning DSG	(168,960)	81,882	250,842	(3,063)	1,390	(1,673)	1,909	3,582	-	3,582	◆
Education and Lifelong Learning NON DSG	6,613	9,841	3,228	48,410	392	48,802	49,525	723	1,055	(332)	■
Fire Reinstatement Works	-	(43)	(43)	-	-	-	-	-	-	-	❖
Infrastructure, Highways and Transport	8,564	15,264	6,700	76,633	932	77,565	77,596	31	700	(669)	❖
Leader	2,560	2,440	(120)	6,825	693	7,518	10,030	2,512	2,659	(147)	■
Finance, Housing and Planning	4,237	3,355	(882)	24,992	1,072	26,064	27,103	1,039	672	367	❖
Environment and Waste	10,489	12,666	2,177	78,838	335	79,173	79,148	(25)	-	(25)	■
Other Operating Costs	15,176	1,596	(13,580)	63,642	(12,298)	51,344	51,979	635	(500)	1,135	❖
Recharged Support Services											
Finance, Housing and Planning RSSS	4,034	4,268	234	17,232	1,676	18,908	20,009	1,101	1,315	(214)	■
Leader RSSS	2,019	3,794	1,775	46,911	(3,283)	43,628	48,595	4,967	4,733	234	❖
Corporate, Communities and Customers RSSS	3,393	3,351	(42)	21,345	(72)	21,273	20,160	(1,113)	(1,082)	(31)	■
Net	(13,083)	238,306	251,389	934,826	-	934,826	958,524	23,698	12,478	11,220	❖
DSG offset	168,960	(81,882)	(250,842)	3,063	(1,390)	1,673	(1,909)	(3,582)	-	(3,582)	
ECC	155,877	156,424	547	937,889	(1,390)	936,499	956,615	20,116	12,478	7,638	❖
Financed by											
COL FUND (SURPLUS)/DEFICIT				(11,340)	-	(11,340)	(11,340)	-	-	-	
COUNCIL TAX PRECEPT				(570,202)	-	(570,202)	(570,201)	1	-	1	
GENERAL BALANCE - CONTRIBUTION/(WITHDRAWAL)				(19,359)	-	(19,359)	(19,359)	-	-	-	
GENERAL GOVERNMENT GRANTS				(54,050)	-	(54,050)	(55,342)	(1,292)	-	(1,292)	
NDR PRECEPT				(44,458)	-	(44,458)	(44,458)	-	-	-	
NDR SAFETY NET PAY(Benefit of NDR pooling)				(1,319)	-	(1,319)	(1,319)	-	-	-	
NDR SURPLUS/DEFICIT				2,444	-	2,444	2,444	-	1	(1)	
NDR TOP UP/TARIFF				(118,604)	-	(118,604)	(118,604)	-	-	-	
REVENUE SUPPORT GRANT				(117,938)	-	(117,938)	(117,938)	-	-	-	
Total Financing				(570,202)	-	(570,202)	-	(1,291)	1	(1,292)	

## Appendix B

	Revenue reserve 1 April 2016	Income	Budget Expenditure	(Surplus)/ Deficit	Income	Forecast Expenditure	Forecast (Surplus) / deficit	Appropriations To County Revenue Account	To Trading Activity reserve	Variance Plan Proposals to/(from) reserves	Revenue reserve 31 March 2017
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
<b>Education &amp; Lifelong Learning</b>											
EES Traded	(1,920)	(14,617)	11,151	(3,466)	(14,494)	11,028	(0)	(3,266)	(200)	500	(1,620)
Music Services Traded	(133)	(4,569)	4,456	(113)	(4,569)	4,456	0	(113)	-		(133)
Schools Staff Insurance Scheme	(973)	(4,825)	4,825	-	(4,725)	4,725	-	-	-		(973)
<b>Libraries Communities and Planning</b>											
Library Services	(484)	(1,527)	1,454	(73)	(74)	1	(0)	-	(73)		(557)
<b>Transformation and Support Services Trading</b>											
Information Services infrastructure	(400)	(6,643)	6,643	0	(6,643)	6,643	-	-	-		(400)
Legal Services	(61)	(11,161)	9,776	(1,385)	(10,282)	8,897	0	(1,385)	-		(61)
Place Services	(336)	(1,963)	1,880	(83)	(1,963)	1,880	0	(83)	-		(336)
Smarte East	(257)	(64)	61	(3)	(45)	42	(0)	-	(3)		(260)
<b>Total</b>	<b>(4,564)</b>	<b>(45,368)</b>	<b>40,245</b>	<b>(5,123)</b>	<b>(42,795)</b>	<b>37,672</b>	<b>0</b>	<b>(4,847)</b>	<b>(276)</b>	<b>500</b>	<b>(4,340)</b>

# Appendix C (i)

CAPITAL 2016/17											
	Year to date			Budget Movement		Forecast outturn (Full Year)			RAG status		
	Budget YTD	Actual to Date	Variance YTD	Original Budget	In year approved changes	Revised Budget	Forecast Outturn	Variance			
	£000	£000	£'000	£000	£000	£000	£000	£000			
Adult Social Care	196	7	(189)	3,778	428	4,206	5,308	1,102	Red		
Children and Families	74	(16)	(90)	365	(24)	341	341	-	Green		
Deputy Leader, Economic Growth and Partnerships	547	377	(170)	14,339	(70)	14,269	13,966	(303)	Green		
Education and Lifelong Learning	5,834	3,451	(2,383)	61,336	(2,480)	58,856	49,092	(9,764)	Yellow		
Environment and Waste	388	819	431	5,303	680	5,983	5,519	(464)	Yellow		
Finance, Housing & Planning	734	120	(614)	1,768	1,368	3,136	3,259	123	Blue		
Infrastructure and Highways & Transport Delivery	20,196	19,256	(940)	149,466	(2,093)	147,373	134,510	(12,863)	Yellow		
Leader	312	(82)	(394)	8,738	155	8,893	9,977	1,084	Red		
Corporate, Communities & Customers	806	(196)	(1,002)	4,314	140	4,454	4,454	-	Green		
Archived Codes	-	(61)	(61)	-	-	-	-	-	Green		
ECC Capital Programme	29,087	23,675	(5,412)	249,407	(1,896)	247,511	226,426	(21,085)	Yellow		
Schools Cash Balance	57	341	284	-	339	339	341	2	Blue		
Devolved Formula Capital	472	210	(262)	2,500	57	2,557	2,244	(313)	Yellow		
Total School Balances	529	551	22	2,500	396	2,896	2,585	(311)	Yellow		
Total Capital Programme	29,616	24,226	(5,390)	251,907	(1,500)	250,407	229,011	(21,396)	Yellow		
Financed By ECC Capital Programme											
Grants				101,125	12	101,137	93,415	(7,722)			
Reserves				11,989	(12)	11,977	11,730	(247)			
Developer contributions				7,295	25	7,320	6,008	(1,312)			
Capital receipts				15,000	-	15,000	15,000	-			
Unsupported borrowing				113,997	(1,920)	112,077	100,273	(11,804)			
sub-total ECC Capital Programme				249,406	(1,895)	247,511	226,426	(21,085)			
School Ba Grants				2,500	-	2,500	2,101	(399)			
Unsupported borrowing				-	396	396	484	88			
sub-total School Balances				2,500	396	2,896	2,586	(310)			
Total ECC & Schools Capital Funding				251,906	(1,499)	250,407	229,011	(21,396)			
Variance plan											
Portfolio	Approved changes					Variance Plan					
	Slippage	Additions	Reductions	Advanced Works	2016/17 Approved changes	Slippage	Additions	Reductions	Advanced Works	Residual	Total Variance
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Adult Social Care	502	-	-	(74)	428	-	607	(635)	28	1,102	1,102
Children and Families	-	-	-	(24)	(24)	-	-	(0)	(0)	0	-
Deputy Leader, Economic Growth and Partnerships	3	-	-	(73)	(70)	0	-	(353)	50	(1)	(303)
Education and Lifelong Learning	694	18,836	(18,836)	(3,173)	(2,479)	(11,870)	5,089	(4,819)	1,500	336	(9,764)
Environment and Waste	698	-	-	(18)	680	(650)	409	-	-	(223)	(464)
Finance, Housing & Planning	63	-	-	-	63	-	93	(93)	93	30	123
Infrastructure and Highways & Transport Delivery	4,286	257	-	(6,636)	(2,093)	(14,128)	20,128	(18,741)	-	(122)	(12,863)
Leader	169	-	-	(14)	155	-	544	(1,602)	2,200	(58)	1,084
Corporate, Communities & Customers	1,500	-	-	(55)	1,445	-	-	-	-	-	-
Total ECC Capital Programme	7,915	19,093	(18,836)	(10,067)	(1,896)	(26,647)	26,870	(26,242)	3,871	1,065	(21,085)
Schools Cash Balance	339	-	-	-	339	-	-	-	-	2	2
Devolved Formula Capital	57	-	-	-	57	(232)	109	(190)	-	0	(313)
Total Controlled Elsewhere	396	-	-	-	396	(232)	109	(190)	-	1	(311)
Total Capital Programme	8,311	19,093	(18,836)	(10,067)	(1,500)	(26,879)	26,979	(26,432)	3,871	1,066	(21,396)

## Appendix C(ii)

Portfolio & Scheme	Slippage £000	Additions £000	Reductions £000	Advanced Works £000	2016/17 Changes Requested
<b>Adult Social Care</b>					
Accommodation for Vulnerable People	-	607	(607)	-	-
Extra Care Planning	-	-	(28)	28	-
	-	607	(635)	28	(0)
<b>Infrastructure and Highways &amp; Transport Delivery</b>					
Colchester ITP (Borough wide)	(1,050)	2,400	-	-	1,350
Local Highways Panels	-	1,536	-	-	1,536
Advanced Scheme Design	-	1,990	(4,290)	-	(2,300)
Economic Growth Transport	-	-	(5,400)	-	(5,400)
HEZ & A414 Pinch Point Delivery Package one	(2,808)	733	(7,841)	-	(9,916)
HEZ & A414 Pinch Point Delivery Package two	(6,430)	7,841	-	-	1,411
South Essex LSTF	-	500	-	-	500
Chelmsford North Eastern Bypass	(562)	-	-	-	(562)
Chelmsford Station (Station Square/Mill Yard)	(1,025)	2,500	-	-	1,475
Basildon Integrated Transport Package	(905)	-	-	-	(905)
Chelmsford Growth Area	(500)	-	-	-	(500)
M11 Junction 7a	-	2,300	-	-	2,300
Jaywick Road Investment	-	-	(754)	-	(754)
Other Schemes	(847)	328	(456)	-	(974)
	(14,128)	20,128	(18,741)	-	(12,740)
<b>Deputy Leader, Economic Growth and Partnerships</b>					
Economic Growth Fund	-	-	(353)	-	(353)
Skills Economic Growth	-	-	-	50	50
Other	-	-	-	-	-
	-	-	(353)	50	(303)
<b>Education and Lifelong Learning</b>					
Basildon Primary Basic Need	(1,732)	2,213	(2,041)	-	(1,560)
Braintree Primary Basic Need	(65)	559	-	-	493
Brentwood Primary Basic Need	(664)	-	(95)	-	(759)
Chelmsford Primary Basic Need	(749)	39	-	-	(710)
Chelmsford Secondary Basic Need	(85)	-	(830)	-	(914)
Glenwood Special School	(1,199)	66	(66)	-	(1,199)
Harlow Secondary Basic Need	(1,248)	-	-	-	(1,248)
Early Years	(1,163)	-	-	-	(1,163)
Other School Schemes (People Comms)	-	-	(716)	-	(716)
Other School Schemes	(835)	35	(322)	-	(1,123)
Special Schools	(2,445)	572	(620)	-	(2,493)
Colchester Secondary Basic Need	(558)	11	-	-	(546)
Capitalised Maintenance 16/17	-	270	-	1,500	1,770
Other	(1,126)	1,324	(129)	-	70
	(11,870)	5,090	(4,820)	1,500	(10,100)
<b>Environment and Waste</b>					
Community Initiatives	-	409	-	-	409
Shrub End Redevelopment	(650)	-	-	-	(650)
	(650)	409	-	-	(241)
<b>Finance, Housing &amp; Planning</b>					
Norton Road	-	93	-	-	93
Essex Housing Programme	-	-	(93)	93	-
	-	93	(93)	93	93
<b>Leader</b>					
Capitalised Building Maintenance	-	144	-	2,200	2,344
County Hall Transformation II	-	-	(100)	-	(100)
Property Transformation III	-	-	(300)	-	(300)
Chelmsford Families	-	400	-	-	400
Essex Cares Ltd - IS	-	-	(1,042)	-	(1,042)
Essex Cares Ltd - IS - LAGAN	-	-	(160)	-	(160)
	-	544	(1,602)	2,200	1,142
<b>ECC Capital Programme</b>	(26,647)	26,871	(26,243)	3,871	(22,149)
<b>Schools Balances &amp; DFC</b>	(232)	109	(190)	-	(313)
	(232)	109	(190)	-	(313)
<b>Total School Balances</b>	(232)	109	(190)	-	(313)
<b>Total Capital Programme</b>	(26,879)	26,980	(26,434)	3,871	(22,461)

