

Audit, Governance and Standards Committee – Work Programme 2021 - 2022

The Work Programme is a document that is subject to revision as circumstances change.

Agenda Item 8**AGS/25/21**

Meeting	Topic	Author	Notes
13 December 2021 (postponed from 15 November 2021)	Limited Assurance Audit Update – IT Assets Melanie Hogger and Cllr C Whitbread	Paula Clowes, Head of Assurance	
	Limited Assurance Audit Update – Country Parks Stock Control Sam Kennedy and Mark Rowley	Paula Clowes, Head of Assurance	
	Internal Audit and Counter Fraud progress report	Paula Clowes, Head of Assurance	
	Further delay to completion of the external audit of the Council's 2020/21 Statement of Accounts	To be presented by Nicole Wood, Executive Director, Finance and Technology, and Christine Golding, Chief Accountant, Essex County Council	
	National scheme for local auditor appointments	Christine Golding, Chief Accountant, Essex County Council	

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17 January 2022	Internal Audit and Counter Fraud progress report	Paula Clowes, Head of Assurance	
	Consideration of Adoption of LGA Model Code of Conduct by ECC	Paul Turner, Director, Legal and Assurance	
	2020/2021 Audit Completion Report for Essex County Council To receive a report from the external auditor	To be presented by Nicole Wood, Executive Director, Finance and Technology, Essex County Council and Christine Golding, Chief Accountant, Essex County Council	
	2020/2021 Audit Completion Report for the Essex Pension Fund To receive a report from the external auditor	To be presented by Nicole Wood, Executive Director, Finance and Technology, Essex County Council and Christine Golding, Chief Accountant, Essex County Council	
	Statement of Accounts 2020/2021 and the Annual Governance Statement	To receive a report from Nicole Wood, Executive Director, Finance and Technology, Essex	

County Council, Paul Turner, Director, Legal and Assurance, Essex County Council and Christine Golding, Chief Accountant, Essex County Council

Annual Audit Letter – 2020/21

BDO LLP – external auditors

To formally present the External Auditor's Annual Audit Letter for the year ending 31 March 2021

Proposed updates to the Council's Financial Regulations and Scheme of Delegation for Financial Management

Christine Golding, Chief Accountant, Essex County Council

To consult the Committee on proposed changes to financial procedure rules before they are presented to Full Council

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21 March 2022	Internal Audit and Counter Fraud progress report	Paula Clowes, Head of Assurance	
	Updating of Risk Management Strategy	Paula Clowes, Head of Assurance	Annual report

Regulation of Investigatory Powers Act 2000 - review of activity on use of Directed Surveillance and Covert Human Intelligence Sources (CHIS)	Paul Turner, Director, Legal and Assurance
Approval of annual Internal Audit and Counter Fraud Plan for 2022/23	Paula Clowes, Head of Assurance
Annual Review of Internal Audit Charter	Paula Clowes, Head of Assurance
Effectiveness Review	Paul Turner, Director, Legal and Assurance
Arrangements for the closure of the 2021/2022 Accounts	Nicole Wood, Executive Director, Finance and Technology and Christine Golding, Chief Accountant.
2021/2022 External Audit Plans for Essex County Council and the Essex Pension Fund	Nicole Wood, Executive Director, Finance and Technology and Christine Golding, Chief Accountant.
External Auditor's Annual Report	BDO LLP – external auditors