

**Audit, Governance and Standards Committee – Work Programme 2020 - 2021****Agenda item 12**  
**Reference:**  
**AGS/75/20**

<b>Meeting</b>	<b>Topic</b>	<b>Author</b>	<b>Notes</b>
<b>28 September 2020</b>	<b>To Approve the Statement of Accounts 2019/2020 and the Annual Governance Statement</b>	Nicole Wood, Executive Director, Finance and Technology and Christine Golding, Chief Accountant.	
	<b>2019/2020 Audit Completion Report for the Essex Pension Fund (from external auditor)</b>	Nicole Wood, Executive Director, Finance and Technology and Christine Golding, Chief Accountant.	Annual report
	<b>2019/2020 Audit Completion Report for Essex County Council (from external auditor)</b>	Nicole Wood, Executive Director, Finance and Technology and Christine Golding, Chief Accountant.	Annual report
	<b>Internal Audit and Counter Fraud progress report</b>	Paula Clowes, Head of Assurance	
	<b>Annual Audit Letter – 2019/20</b> To formally present the External Auditor's Annual Audit Letter for the year ending 31 March 2020	From external auditors	Annual report

<b>Updating of Risk Management Strategy 2017-2021</b>	Paula Clowes, Head of Assurance	Annual report
<b>Annual report on the work of the AGS committee</b>	Paul Turner, Director, Legal and Assurance	To thereafter be reported to Council in October 2020
<b>Report of the use of working groups</b> To consider in-depth issues and report back to the AGS committee	Paul Turner, Director, Legal and Assurance (or other officers as appropriate)	To thereafter be reported to Council in October 2020

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<b>7 December 2020</b>	<b>Internal Audit and Counter Fraud progress report</b>	Paula Clowes, Head of Assurance	
	<b>Annual Audit Letter</b>	Nicole Wood, Executive Director, Finance and Technology and Christine Golding, Chief Accountant	
	<b>The co-opting of at least one independent member to the AGS Committee</b> To provide expertise and steer the members to ask the challenging questions.	Paul Turner, Director, Legal and Assurance	Subject to Council approval July 2020
	<b>To note the inclusion of an AGS Page on the ECC Internet</b> To increase the committee's visibility with officers and members and provide guidance to auditees,	Paul Turner, Director, Legal and Assurance	The page to be on the Internet by the date of this meeting.

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22 March 2021	Internal Audit and Counter Fraud progress report	Paula Clowes, Head of Assurance	
	Arrangements for the closure of the 2020/2021 Accounts	Nicole Wood, Executive Director, Finance and Technology and Christine Golding, Chief Accountant.	
	2020/21 Audit Plans for Essex County Council and the Essex Pension Fund	Nicole Wood, Executive Director, Finance and Technology	
	Regulation of Investigatory Powers Act 2000 - review of activity on use of Directed Surveillance and Covert Human Intelligence Sources (CHIS)	Paul Turner, Director, Legal and Assurance	
	Approval of annual Internal Audit and Counter Fraud Plan for 2021/22	Paula Clowes, Head of Assurance	
	Annual skills and knowledge self-assessment To determine where skills and knowledge need to be enhanced on an annual basis	Paul Turner, Director, Legal and Assurance	No later than this March meeting.