

Internal Audit

Final Report

Essex Countywide Traveller Unit (ECTU) Joint Committee

Audit Plan Reference: GRT1.4

May 2015

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Essex Countywide Traveller Unit Joint Committee

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Essex County Council

1.1 Introduction and Ownership

This review was undertaken as part of the 2015/16 Internal Audit Plan agreed by the Audit Committee (Audit Plan Reference GRT1.4).

1.2 Scope

Internal audit work was undertaken as required by the Audit Commission in respect of the Small Bodies in England Annual Return for the year ended 31 March 2015 and as specified in our agreed Terms of Reference. Section 4 of the Annual Return has been completed and signed.

1.3 Work Undertaken

The following work was undertaken:

- Relevant documentation was examined relating to the control objectives specified in Section 4 of the Annual Return;
- Sample testing was undertaken on transactions relating to purchase and overtime payments.

Audit Note: As the Council's key financial systems are used for the accounts of the Joint Committee, assurance was taken from the annual managed systems audit work of Payroll, General ledger and Cash and Bank.

1.4 Findings

It was found that, in all significant respects, the control objectives in Section 4 of the Annual Return were being achieved throughout the financial year to an adequate standard.

Audit Note: Control objectives relating to petty cash and asset and investments registers were not covered as the Joint Committee does not have these.

1.5 Conclusion

No reportable issues were identified during this review.