

Audit, Governance and Standards Committee – Work Programme 2021 - 2022

The Work Programme is a document that is subject to revision as circumstances change.

Agenda item
AGS/11/21

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Meeting	Topic	Author	Notes
28 June 2021	Internal Audit and Counter Fraud Annual Report for the year ended 31 March 2021	Paula Clowes, Head of Assurance	
	To receive the Draft Statement of Accounts 2020/2021 and the Draft Annual Governance Statement	Nicole Wood, Executive Director, Finance and Technology, Paul Turner, Director, Legal and Assurance and Christine Golding, Chief Accountant.	
	Review of Register of Gifts and Hospitality Register	Paul Turner, Director, Legal and Assurance	
	Annual report on the work of the AGS committee	Paul Turner, Director, Legal and Assurance	To thereafter be reported to Council in July 2021

Meeting	Topic	Author	Notes
27 September 2021	Internal Audit and Counter Fraud progress report	Paula Clowes, Head of Assurance	
	To Approve the Statement of Accounts 2020/2021 and the Annual Governance Statement	Nicole Wood, Executive Director, Finance and Technology, Paul Turner, Director, Legal and Assurance and Christine Golding, Chief Accountant.	
	2020/2021 Audit Completion Report for Essex County Council (from external auditor)	Nicole Wood, Executive Director, Finance and Technology and Christine Golding, Chief Accountant.	Annual report
	2020/2021 Audit Completion Report for the Essex Pension Fund (from external auditor)	Nicole Wood, Executive Director, Finance and Technology and Christine Golding, Chief Accountant.	Annual report

Meeting	Topic	Author	Notes
15 November 2021	Internal Audit and Counter Fraud progress report	Paula Clowes, Head of Assurance	
	Annual Audit Letter – 2020/21 To formally present the External Auditor's Annual Audit Letter for the year ending 31 March 2021	From external auditors	Annual report

Meeting	Topic	Author	Notes
17 January 2022	Internal Audit and Counter Fraud progress report	Paula Clowes, Head of Assurance	

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21 March 2022	Internal Audit and Counter Fraud progress report	Paula Clowes, Head of Assurance	
	Updating of Risk Management Strategy	Paula Clowes, Head of Assurance	Annual report
	Regulation of Investigatory Powers Act 2000 - review of activity on use of Directed Surveillance and Covert Human Intelligence Sources (CHIS)	Paul Turner, Director, Legal and Assurance	
	Approval of annual Internal Audit and Counter Fraud Plan for 2022/23	Paula Clowes, Head of Assurance	

Annual Review of Internal Audit Charter

Paula Clowes, Head of Assurance

Annual skills and knowledge self-assessment

To determine where skills and knowledge need to be enhanced on an annual basis

Paul Turner, Director, Legal and Assurance

Arrangements for the closure of the 2021/2022 Accounts

Nicole Wood, Executive Director, Finance and Technology and
Christine Golding, Chief Accountant.

2021/2022 External Audit Plans for Essex County Council and the Essex Pension Fund

Nicole Wood, Executive Director, Finance and Technology and
Christine Golding, Chief Accountant.